MEDICAL MALPRACTICE IN NEW YORK

FOURTH EDITION

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TABLE OF CONTENTS

		xxix xxxi
Chapter 1	Defending a Medical Malpractice Case	1
Chapter 2	Anatomy of a Plaintiff's Malpractice Case: Intake to Note of Issue	19
Chapter 3	Preparing for Trial Steven E. North, Esq. Laurence M. Deutsch, Esq.	115
Chapter 4	Deposing the Plaintiff	155
Chapter 5	Deposing the Defendant-Physician	179
Chapter 6	Plaintiff's Jury Selection	199
Chapter 7	Defendant's Jury Selection Jeffrey A. Marshall, Esq. Amy S. Weissman, Esq.	211
Chapter 8	The Challenges of Jury Selction for the Medical Malpractice Defense Attorney	217
Chapter 9	Opening Statements: Plaintiff's Perspective	231
Chapter 10	Opening Statements: Defense Perspective	251
Chapter 11	Developing and Employing Defense Strategies in Your Opening Statement	263
Chapter 12	Proving Plaintiff's Case	269
Chapter 13	Medical Malpractice Statutory Law Elliott C. Winograd, Esq. Amy G. London, Esa.	283

Chapter 14	Practical Applications of the <i>Frye</i> Hearing in Medical Malpractice Actions	309
Chapter 15	Issues in Hospital Liability E. Marc Hyman, Esq. Daniel S. Ratner, Esq.	331
Chapter 16	Nursing Home Public Health Law Actions	353
Chapter 17	Medical and Hospital Records Evidence	367
Chapter 18	Preparation of Healthcare Professionals for Trial Testimony Clifford A. Bartlett, Jr., Esq.	417
Chapter 19	Cross-Examination of Plaintiff's Medical Expert Bruce G. Habian, Esq.	423
Chapter 20	Cross-Examination of Defendant's Expert	429
Chapter 21	Technology as a Tool of Persuasion in the Trial of a Medical Malpractice Case	469
Chapter 22	Plaintiff's Summation: Bringing It All Together Barry Washor, Esq. Matthew Maiorana, Esq.	499
Chapter 23	The Defense Summation	519
Chapter 24	Anticipating the Jury Instructions in the Disputed Medical Malpractice Trial	529
Chapter 25	Preserving Issues for Review	545
Chapter 26	The Medical Indemnity Fund: Defense Counsel Perspective	567
Chapter 27	The Physician-Patient Privilege in Medical Malpractic Litigation	

Chapter 28	State Law Jeffrey C. Gerson, Esq.	619
Chapter 29	Medication-Related Malpractice Claims	645
Chapter 30	The Brain-Damaged Baby Case From a Defendant's Perspective	657
Chapter 31	The Wrongful Death Case From a Defendant's Perspective—General Considerations	673
Chapter 32	The Wrongful Death Case From a Plaintiff's Perspective	679
Contributor	Biographies	691
Table of Au	thorities	705
Index		733

DETAILED TABLE OF CONTENTS

Preface	·		xxix
			xxxi
		Defending a Medical Malpractice Case <i>Robert J. Walker, Esq.</i>	
1.0]	I.	Introduction	3
[1.1]	II.	"Trying the Case in Reverse"	3
[1.2]		A. Identifying the Critical Issues	4
[1.3]		B. Preparation for Depositions	7
[1.4]		C. Jury Selection	9
[1.5]		D. Opening Statement	11
[1.6]		E. Cross-Examination During Plaintiff's	
		Case	12
[1.7]		F. Direct Examination in the Defense Case	14
[1.8]		G. Summation	18
CI 4	•	A A P DI COPP NA L ACCOLA	
Chapte		Anatomy of a Plaintiff's Malpractice Case: Inta to Note of Issue	ke
		Steven E. North, Esq. Daniel Kramer, Esq.	
FQ 07		*	
[2.0]	I.	Initial Contact	21
[2.1]	II.	Initial Interview	23
[2.2]	III.	The Retainer And Other Papers That Must Be	2.5
50.03		Signed	25
[2.3]		A. Retainer Agreement	25
[2.4]	** *	B. Other Forms	26
[2.5]	IV.	Caveats	28
[2.6]		A. Don't Let Client Emotions Cloud the	20
ro 71		Issues	28
[2.7]		B. Make Sure Proximate Causation Exists	28
[2.8]		C. Considerations Relative to Attorney	20
ra 01	* 7	Substitution	28
[2.9]	V.	Potential Client Questions	30
[2.10]	VI.	Getting the Medical Records	33
[2.11]	VII.	Review of the Records and Expert Review	34
[2.12]	VIII	Commencing Suit and the Pleadings	35
[2.13]		A. Identification of the Parties	35
[2.14]		B. Decision Not to Take the Case	36 36
1/131		L Sunmission of Refainer Statement	10

[2.16]		D. Communications with Referring	
		Attorneys	36
[2.17]		E. Preparation of Complaint	37
[2.18]		F. Addressing the Answer	38
[2.19]		G. Service of Bill of Particulars	39
[2.20]		H. Preparation for Preliminary Conference	40
[2.21]		I. Discovery	41
[2.22]	IX.	Exchange of Medical Information	41
[2.23]	X.	Plaintiff's Deposition	43
[2.24]	XI.	Defense Deposition Considerations	45
[2.25]	XII.	Expert Disclosure	46
[2.26]	XIII.	Filing the Note of Issue	46
[2.27]	XIV.	Diary, Diary, Diary	47
[2.28]	XV.	Conclusion	47
Appendi	х А.	Rejection Letters for Medical or Nonmedical	
трренан		Malpractice Actions	51
Appendi	x B·	Medical Malpractice Intake Form	55
Appendi		Thank-You Letter to New Client	59
Appendi		Retainer Agreement	61
Appendi		Power of Attorney to Sign Authorization	65
		Motion for Leave to File a Late Notice of	00
pp •		Claim	67
Appendi	x F-2:	Affirmation and Affidavit in Support of Motion	
F F		to File Late Notice of Claim	69
Appendi	x G:	Substitution of Attorney Letter	77
Appendi		Substitution of Attorney Form	79
		Letter for Recalcitrant Medical Records	81
		Letter to Joint Commission on Accreditation of	-
F F		Health Care Organizations re: Recalcitrant	
		Medical Records	83
Appendi	x J:	Certificate of Merit	85
Appendi		Client Does Not Want to Sue a Defendant	
F F		Letter	87
Appendi	x L:	Plaintiff's Counsel Does Not Want to Sue a	
F F		Particular Defendant Letter	89
Appendi	x M:	Rejection Letters	93
Appendi		Nunc Pro Tunc Statement for Retainer	-
F F		Statement	97
Appendi	x O:	OCA Retainer Statement	99
Appendi		Letter to Co-Counsel	101
Appendi		Affirmative Defense Letter	103
Annendi	_	Combined Demands	105

Chapte	r 3	Preparing for Trial	
_		Steven E. North, Esq.	
		Laurence M. Deutsch, Esq.	
[3.0]	I.	Overview	7
[3.1]		A. Preparing for Trial: Easier Said Than	
		Done 11	7
[3.2]		B. Preparing Your Case, Your Client and	
. ,		Yourself11	7
[3.3]	II.	Preparing Yourself for Trial 11	8
[3.4]		A. Physically 11	8
[3.5]		B. Preparing Psychologically 11	9
[3.6]		1. The Psychological "Stages" of	
		Preparation11	9
[3.7]		2. Amulets, Talismans and Haircuts 12	2
[3.8]	III.	Preparing The Case	3
[3.9]		A. Time Line for Subpoenas and Notice of Intent	
		to Offer Records 12	3
[3.10]		1. Medical Record Subpoenas (60 Days	
		Before Trial)	4
[3.11]		a. Overview of the Subpoena	
		Process	4
[3.12]		b. Subpoena Checklist: Put It on Your	
		Form 12	4
[3.13]		2. Ensuring Admissibility of Subpoenaed	
		Imaging Studies and Diagnostic	
		Tests 12	6
[3.14]		a. Subpoena Not Needed if Study Is	
		"Self-Authenticated" and "Previously	
		Received or Examined" by the Other	
		Side	7
[3.15]		b. Subpoena Required (With "Certification"	,
		and "Notice") for Any Other	
		Study 12	8
[3.16]		c. "So Ordered Subpoenas" Required for	
		Government Agencies and Public	
		Corporations 12	8
[3.17]		d. "Authenticated" Does Not Mean	
		"Admissible" 12	9
[3.18]		B. Stipulating Records into Evidence and Page	
		Numbering Records	9

[3.19]		C.	Notice of Intent to Offer Records: 30 Days Before Trial	1
[3 20]		D.	Non-Party Witness Subpoenas (Ad	1
[3.20]		D .	Testificandum)	1
[2 21]			1. Who Do You Need? Who Do You	1
[3.21]			Want?	1
[3.22]			2. Getting the Nonparty Witness To Court:	
			A Subpoena Is Only the Start	1
[3.23]		E.	The Master Chronology	1
[3.24]		F.	Tweaking Expert Disclosures and Rejecting	
			Insufficient Disclosures; Motion in Limine	
			May Be Needed	1
[3.25]		G.	Preparing Demonstrative Evidence	1
[3.26]		H.	Pretrial Memorandum: When Required and	
			Even When Not Required	1
[3.27]		I.	Preparing Your Experts and Getting Them	
			to Court	1
[3.28]	IV.	Prep	paring Your Client: Coming Full Circle	1
~-	_	_		
Chapte		-	sing the Plaintiff	
			arc Hyman, Esq.	
[4.0]	I.		oduction	1
[4.1]	II.	Wh	at Can Be Accomplished	1
[4.2]		A.	Find Out What Happened	1
[4.3]		В.	Assess Plaintiff	1
[4.4]		C.	Develop Evidence for Trial	1
[4.5]		D.	Find Out What You Don't Know	1
[4.6]		E.	Make Sure the Story Is Final	1
[4.7]	III.	Prep	paring for the Deposition	1
[4.8]		A.	Pleadings and Discovery Responses	1
[4.9]		В.	Your Client	1
[4.10]		C.	Know the Medicine	1
[4.11]		D.	Know the Records	1
[4.12]	IV.	•	cific Suggestions	1
[4.13]		A.	Use an Outline but "Follow the	
			Testimony"	1
[4.14]		B.	Rapport, Rhythm and Framework	1
[4.15]		C.	Logical Behavior as Credibility Test	1
[4.16]		D.	"I Don't Recall"	1
[4.17]		E.	Challenging Testimony vs. Setting up	
			Impeachment at Trial	1

[4.18]		F. Plaintiffs Who May Not Be Able to Offer
[4.19]		Testimony at Trial
[4.19]		H. Lack of Informed Consent Claims
[4.21]		
[4.22]		
[4.23]		K. Pain and Suffering
[4.24]		L. Special Damages
[4.25]		M. Attorney Conduct at Depositions
[4.26]		N. Handling Disputes During Depositions
Chapte	er 5	Deposing the Defendant-Physician
		Thomas J. Principe, Esq.
[5.0]	I.	Introduction
[5.1]	II.	Deposition Preparation
[5.2]	III.	Deposition Demeanor
[5.3]	IV.	Deposition Tactics
[5.4]		A. Scope of Deposition
[5.5]		B. Lines of Questioning and Tactics
[5.6]		1. Employment Status
[5.7]		2. Profit Motive
[5.8]		3. Opinion Questions
[5.9]		4. Establish Importance of Medical
		Records
[5.10]		5. Clarify Physician-Patient Relationship
[5.11]		6. Have Defendant-Physician Read and
		Interpret Notes Into Record
[5.12]		7. Noncompliant Patient Notes
[5.13]		8. Conversations with Patient or Family
_		Members
[5.14]		9. Consent Form
[5.15]		10. Then-and-Now Comparisons
[5.16]		11. Departure from Standard of Care
[5.17]	V.	Conduct During a Deposition
[5.18]		A. Disclosure Guidelines
[5.19]		B. Dealing with Obstruction
[5 20]	VI	Conclusion

Chapter 6		Plaintiff's Jury Selection Daniel J. Woodard, Esq.	
[6.0]	I.	Overview	201
[6.1]	II.	Challenges to Seating an Impartial Jury	201
[6.2]	III.	Voir Dire Strategies and Tactics	203
[6.3]		A. Expose Juror Attitudes	204
[6.4]		B. Educating the Jury	205
[6.5]		1. On the Medicine	205
[6.6]		2. On the Standard of Care	206
[6.7]		C. Open Jurors' Minds to Merits of Case Through Your Theme	207
[6.8]	IV.	Practice Tips	209
[6.9]	- · ·	A. The Interplay of Local Rules and the	
[0.7]		CPLR When Designating Alternates	209
[6.10]	V.	Conclusion.	210
Chapte		Defendant's Jury Selection Jeffrey A. Marshall, Esq. Amy S. Weissman, Esq.	
[7.0]		oduction	213
[7.1]		mptory Challenges	214
Chapte		The Challenges of Jury Selection for the Medica Malpractice Defense Attorney Joyce Lipton Rogak, Esq.	ıl
[8.0]	I.	Introduction	219
[8.1]	II.	Peremptory Challenges	219
[8.2]	III.	To Select or Not to Select?	223
[8.3]	IV.	Challenges For Cause	225
[8.4]	V.	Other Instances to Consider Challenging a Juror	
. ,		for Cause	227
[8.5]	VI.	When to See the Judge Over a Challenge for	
		Cause	227
[8.6]	VII.	Challenges to Those Physically Challenged	228
[8.7]		Ethical Challenges	228
[8.8]	IX.	More Words of Advice	228
Chapte		Opening Statements: Plaintiff's Perspective D. Carl Lustig III, Esq.	
[9.0]	I.	Introduction: General Considerations	233
[9.1]	II.	Legal Considerations	233
[9.2]	Ш	Initial Preparation	235

[9.3]	IV.	Objective of Opening Statement	235
[9.4]	V.	Primacy Versus Recency	236
[9.5]	VI.	Advocacy in the Opening	236
[9.6]		A. Constructing the Opening Statement: The	
		Theme	237
[9.7]		B. Developing the Opening Statement	238
[9.8]		C. Use of Analogies	240
[9.9]		D. Understatement Versus Overstatement	241
[9.10]		E. Dealing with Weaknesses	242
[9.11]		F. Tracking the Charge to the Jury	244
[9.12]		G. Use of Exhibits in an Opening	
		Statement	245
[9.13]		H. The Presentation	246
[9.14]		I. Humor in the Opening	248
[9.15]		J. Presentation of Damages	248
[9.16]	VII.	Conclusion	250
C1 .	40		
Chapte		Opening Statements: Defendant's Perspective	
		Bruce M. Brady, Esq.	
[10.0]	I.	Introduction	253
[10.1]	II.	Goals of the Opening Statement	254
[10.2]	III.	The Do's and Don'ts of Opening	255
[10.3]		A. Defense Strategy in Opening	258
[10.4]		B. Discussion of Medical Issues	259
[10.5]		C. Use of Demonstrative Evidence	259
[10.6]		D. Limitations of Law	260
[10.7]		1. Model Rules of Professional	
		Conduct	260
[10.8]		2. New York State Rules of Professional	
		Conduct (22 N.Y.C.R.R. §1200.0)	260
[10.9]		E. Conclusion	262
Chapte	r 11	Developing and Employing Defense Strategies i	n
		Your Opening Statement	
		Stuart J. Manzione, Esq.	
[11.0]	I.	Introduction	265
[11.1]	II.	Develop a Theme	265
[11.2]	III.	Know Your Case	266
[11.3]	IV.	Structure and Body of Opening	266
[11.4]	V.	Conclusion	267

[13.19]		6. Calendar Practice: Trial Preferences—	
		CPLR 3403	299
[13.20]		7. Trial by Jury	300
[13.21]		a. CPLR 4545	300
[13.22]		b. CPLR 4546	301
[13.23]		c. CPLR 4401-a	302
[13.24]		d. CPLR 4213	303
[13.25]		e. CPLR 4111	304
[13.26]		8. Post-Judgment—CPLR art. 50-A	305
[13.27]		9. Other Significant Statutes	306
[13.28]		a. Insurance Law § 2343	306
[13.29]		b. Public Health Law § 2805-j	307
[13.30]		c. N.Y. Education Law § 6509	307
[13.31]	III.	Conclusion	307
Chamte	11	Due sticel Applications of the Equal Heaving in	
Спари	21 14	Practical Applications of the <i>Frye</i> Hearing in Medical Malpractice Actions	
		<u> -</u>	
		Robert G. Vizza, Esq. Robert F. Elliott, Esq.	
F1 4 O1		•	1
[14.0]	I.	Trial Judge as Gatekeeper: Frye v. United States a	
F1 4 13	TT	Its Progeny	
[14.1]	II.	Related Challenges to Expert Opinion Testimony	
[14.2]	III.	When and How to Bring on a <i>Frye</i> Hearing	317
[14.3]	IV.	Has the <i>Frye</i> Standard Been Relaxed in Medical Malpractice Actions?	321
Γ1 <i>4 4</i> 1	V	What's Next: Will the Courts Catch Up With Scie	
[14.4]	V.		
		in Recognizing "Evidence-Based Medicine"?	320
Chapte	er 15	Issues in Hospital Liability	
ompt.		E. Marc Hyman, Esq.	
		Daniel S. Ratner, Esq.	
[15.0]	I.	Introduction	333
[15.0]	II.	Theories of Hospital Liability	333
[15.1]	11.	A. Vicarious Liability	333
[15.2]		For Negligence of Private Attending	333
[13.5]		Physician	333
[15.4]		a. Apparent Agency	334
[15.1]		b. For Acts Directed by Private	331
[10.0]		Attending Physicians	339
[15.6]		B. Direct Liability	340
[15.7]		For Negligence of Hospital Staff	340
[15.7]		2. Patient Security	341
[0]		· ,	

[15.9]			3. Patient Falls	342
[15.10]			4. Other Claims Not Involving an Analysis	
			of the Specific Medical Treatment Provide	ded
			to the Plaintiff	345
[15.11]			5. Negligent Hiring or Credentialing	347
[15.12]		C.		350
[15.13]			1. Demands for Rules, Regulations and	
			Protocols	350
[15.14]			2. Quality Assurance Materials	351
[15.15]			3. Interviews of Non-Defendant Care	
			Providers	351
Chapte	r 16 N	Jurs	ing Home Public Health Law Actions	
ompre.			d M. Freeman, Esq.	
[16.0]	I.		oduction	355
[16.1]	II.		l Prevention	356
[16.1]	II. III.		ssure Ulcers	357
	III. IV.			358
[16.3] [16.4]	V.		hydrationsident Mobility and Treatment	359
	v. VI.		ections	359
[16.5]	VI. VII.		trition	360
[16.6] [16.7]	VII. VIII.		oking	360
[16.7]	IX.			360
[16.9]	X.		spiratory Therapysual Assault	361
[16.10]	XI.		ctor Liability Under the Public Health	301
[10.10]	Λ1.		W	361
[16 11]	VII			362
[16.11] [16.12]	XII. XIII.		curacy of Records	362
[16.12]			coveryrden of Proof	364
[16.14]	XV.			364
[16.14]			magesnclusion	365
[10.13]	Α V I.	Coi	ictusion	303
Chapte			ical and Hospital Records Evidence	
			in A. Adams, Esq.	
[17.0]	I.	Ad	missibility Under the Business Records	
		Rul	le	369
[17.1]		A.	Hospital Records	371
[17.2]		B.	Medical Office Records	373
[17.3]		C.	Inadmissible Records	378
[17.4]		D.	Electronic Records	379
[17.5]		E.	Medical Records in Criminal	
			Proceedings	380

[17.6] [17.7]	II.	Laying a Foundation	383
. ,			383
[17.8]			384
[17.9]			384
[17.10]		_	385
[17.10]		3. Records Produced Pursuant to Subpoena	303
[1/.11]			386
[17.12]			386
		b. Notice of and Objection to	300
[17.13]		· · · · · · · · · · · · · · · · · · ·	387
F17 141		•	301
[17.14]		c. Certification of Out-of-State Office	200
F177 1.53	TTT		388
[17.15]	III.	, I	200
515 163		•	388
[17.16]		C	388
[17.17]		B. Entries Regarding Manner or Cause of	201
			391
[17.18]		C. Health Care Provider Testimony About	-
		•	397
[17.19]			398
[17.20]			400
[17.21]		•	400
[17.22]		G. Statements Relevant to Material Element of	
		Claim	405
[17.23]		H. Opinions Expressed in Medical Records	405
[17.24]		I. Reports, Letters and Other Documents in	
		Medical Record	407
[17.25]		1. Medical Reports	407
[17.26]		2. Letters	410
[17.27]		J. Records and Reports Prepared for	
			411
[17.28]			411
			413
[17.31]		· · · · · · · · · · · · · · · · · · ·	415
Chapte	r 18	Preparation of Healthcare Professionals for Trial	l
		Testimony	
		Clifford A. Bartlett, Jr., Esq.	
[18.0]	I.		419
r - · - J		•	419
[17.29] [17.30] [17.31]		X-rays and the Best Evidence Rule Authentication of X-rays L. Incident and Accident Reports Preparation of Healthcare Professionals for Trial Testimony Clifford A. Bartlett, Jr., Esq. Introduction Preparation of a Witness as to Their Prior	413 413 415 I

[18.2]	III.	Use of a Witness's Former Testimony	420
[18.3]	IV.	Dangers of Collateral Examination	421
Chapte	r 19	Cross-Examination of Plaintiff's Medical Exper Bruce G. Habian, Esq.	t
[19.0]	I.	Introduction	425
[19.1]	II.	Preparation	425
[19.2]		A. The Attorney's Knowledge	425
[19.3]		B. Who is the Expert?	426
[19.4]	III.	Cross-Examination Methods	426
[19.5]		A. Preliminary Comments	426
[19.6]		B. Recognized Methods	427
[19.7]	IV.	Conclusion	428
Chapte	r 20	Cross-Examination of Defendant's Expert David S. Pollack, Esq.	
[20.0]	I.	Introduction	431
[20.0]	I. II.	Cross-Examination of a Medical Expert	432
[20.1]	III.	Preparation	465
		•	
Chanta	r 71	Tooknology as a Tool of Daysuasian in the Twist	Λť
Спарис	1 21	Technology as a Tool of Persuasion in the Trial	OI .
Спарис	1 21	a Medical Malpractice Case W. Russell Corker, Esq.	OI .
	I.	a Medical Malpractice Case W. Russell Corker, Esq.	471
[21.0] [21.1]		a Medical Malpractice Case W. Russell Corker, Esq. Introduction	
[21.0]	I.	a Medical Malpractice Case W. Russell Corker, Esq.	471
[21.0] [21.1]	I. II.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction	471 472
[21.0] [21.1] [21.2]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup	471 472 473
[21.0] [21.1] [21.2] [21.3]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction	471 472 473 474
[21.0] [21.1] [21.2] [21.3] [21.4]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup Organize Your Evidence A. Circus Ponies Notebook B. Basic PowerPoint for Trial Lawyers C. Bullet-Point Presentations	471 472 473 474 475
[21.0] [21.1] [21.2] [21.3] [21.4] [21.5]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup Organize Your Evidence A. Circus Ponies Notebook B. Basic PowerPoint for Trial Lawyers	471 472 473 474 475 476
[21.0] [21.1] [21.2] [21.3] [21.4] [21.5] [21.6]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup Organize Your Evidence A. Circus Ponies Notebook B. Basic PowerPoint for Trial Lawyers C. Bullet-Point Presentations D. Inserting Photos and Graphics E. Linking Documents, Photos and Internet	471 472 473 474 475 476 478 479
[21.0] [21.1] [21.2] [21.3] [21.4] [21.5] [21.6] [21.7]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup Organize Your Evidence A. Circus Ponies Notebook B. Basic PowerPoint for Trial Lawyers C. Bullet-Point Presentations D. Inserting Photos and Graphics E. Linking Documents, Photos and Internet Websites	471 472 473 474 475 476 478 479
[21.0] [21.1] [21.2] [21.3] [21.4] [21.5] [21.6] [21.7] [21.8]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup Organize Your Evidence A. Circus Ponies Notebook B. Basic PowerPoint for Trial Lawyers C. Bullet-Point Presentations D. Inserting Photos and Graphics E. Linking Documents, Photos and Internet Websites F. Smart Art	471 472 473 474 475 476 478 479
[21.0] [21.1] [21.2] [21.3] [21.4] [21.5] [21.6] [21.7] [21.8] [21.9] [21.10]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup Organize Your Evidence A. Circus Ponies Notebook B. Basic PowerPoint for Trial Lawyers C. Bullet-Point Presentations D. Inserting Photos and Graphics E. Linking Documents, Photos and Internet Websites F. Smart Art G. Emphasis Tools: Get In Focus	471 472 473 474 475 476 478 479 480 480
[21.0] [21.1] [21.2] [21.3] [21.4] [21.5] [21.6] [21.7] [21.8] [21.9] [21.10] [21.11]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup Organize Your Evidence A. Circus Ponies Notebook B. Basic PowerPoint for Trial Lawyers C. Bullet-Point Presentations D. Inserting Photos and Graphics E. Linking Documents, Photos and Internet Websites F. Smart Art G. Emphasis Tools: Get In Focus H. Exhibit Numbers	471 472 473 474 475 476 478 479 480 480 481
[21.0] [21.1] [21.2] [21.3] [21.4] [21.5] [21.6] [21.7] [21.8] [21.9] [21.10] [21.11] [21.12]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup Organize Your Evidence A. Circus Ponies Notebook B. Basic PowerPoint for Trial Lawyers C. Bullet-Point Presentations D. Inserting Photos and Graphics E. Linking Documents, Photos and Internet Websites F. Smart Art G. Emphasis Tools: Get In Focus H. Exhibit Numbers I. Titles	471 472 473 474 475 476 478 479 480 480 481 481
[21.0] [21.1] [21.2] [21.3] [21.4] [21.5] [21.6] [21.7] [21.8] [21.9] [21.10] [21.11] [21.12] [21.13]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup Organize Your Evidence A. Circus Ponies Notebook B. Basic PowerPoint for Trial Lawyers C. Bullet-Point Presentations D. Inserting Photos and Graphics E. Linking Documents, Photos and Internet Websites F. Smart Art G. Emphasis Tools: Get In Focus H. Exhibit Numbers I. Titles J. Litigation Software	471 472 473 474 475 476 478 479 480 480 481 481 482
[21.0] [21.1] [21.2] [21.3] [21.4] [21.5] [21.6] [21.7] [21.8] [21.9] [21.10] [21.11] [21.12] [21.13] [21.14]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup Organize Your Evidence A. Circus Ponies Notebook B. Basic PowerPoint for Trial Lawyers C. Bullet-Point Presentations D. Inserting Photos and Graphics E. Linking Documents, Photos and Internet Websites F. Smart Art G. Emphasis Tools: Get In Focus H. Exhibit Numbers I. Titles J. Litigation Software K. iPad Litigation Software	471 472 473 474 475 476 478 479 480 480 481 481 482 483
[21.0] [21.1] [21.2] [21.3] [21.4] [21.5] [21.6] [21.7] [21.8] [21.9] [21.10] [21.11] [21.12] [21.13] [21.14] [21.15]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup Organize Your Evidence A. Circus Ponies Notebook B. Basic PowerPoint for Trial Lawyers C. Bullet-Point Presentations D. Inserting Photos and Graphics E. Linking Documents, Photos and Internet Websites F. Smart Art G. Emphasis Tools: Get In Focus H. Exhibit Numbers I. Titles J. Litigation Software K. iPad Litigation Software L. Wireless	471 472 473 474 475 476 478 479 480 480 481 481 482 483 485
[21.0] [21.1] [21.2] [21.3] [21.4] [21.5] [21.6] [21.7] [21.8] [21.9] [21.10] [21.11] [21.12] [21.13] [21.14]	I. II. III.	a Medical Malpractice Case W. Russell Corker, Esq. Introduction Equipment Courtroom Setup Organize Your Evidence A. Circus Ponies Notebook B. Basic PowerPoint for Trial Lawyers C. Bullet-Point Presentations D. Inserting Photos and Graphics E. Linking Documents, Photos and Internet Websites F. Smart Art G. Emphasis Tools: Get In Focus H. Exhibit Numbers I. Titles J. Litigation Software K. iPad Litigation Software	471 472 473 474 475 476 478 479 480 480 481 481 482 483

[21.18]		O.	Anatomy Software for iPad	487
[21.19]		P.	Medical Illustrations	487
[21.20]		Q.	Video	488
[21.21]		R.	Imaging Studies	488
[21.22]		S.	Trial Graphics	489
[21.23]		T.	Timelines	490
[21.24]		U.	Chronological Lists	492
[21.25]		V.	Flowcharts	492
[21.26]		W.	Checklists	493
[21.27]	V.	Ope	ening Statements	493
[21.28]	VI.	Exa	mination of Witnesses	495
[21.29]	VII.	Sun	nmation	497
[21.30]	VIII.	Con	clusion	498
Chapte			tiff's Summation: Bringing it All Together	
			Washor, Esq.	
			ew Maiorana, Esq.	
[22.0]	I.		nging it All Together	501
[22.1]		A.	Style	502
[22.2]		В.	Preparation	502
[22.3]		C.	Be Confident	504
[22.4]		D.	Use of Notes or Outlines	505
[22.5]		E.	Pay Close Attention to the Opposing	
		_	Counsel Summations	505
[22.6]		F.	Adversary or Colleague	506
[22.7]		G.	Objections	506
[22.8]		Н.	Know Your Jurors	507
[22.9]		I.	Use of Evidence	508
[22.10]		J.	Use of Inconsistent Testimony	508
[22.11]		K.	Be Creative	509
[22.12]		L.	Reference the Law of the Case	509
[22.13]	II.		Summation	510
[22.14]		A.	Introduction	510
[22.15]		В.	Our Case	511
[22.16]		C.	The Defense	512
[22.17]		D.	Reinforcement of Our Case	514
[22.18]		E.	The Verdict Sheet	514
[22.19]		F.	Transition to Damages	515
[22.20]		G.	Damages	515
[22.21]		Н.	Final Remarks	517

Chapter	r 23 T	The Defense Summation	
-	S	Shawn P. Kelly, Esq.	
[23.0]	I.	Introduction	521
[23.1]	II.	Unit of Time	523
[23.2]	III.	Insurance and Financial Status	523
[23.3]	IV.	Fair Comment or Over the Line?	524
[23.4]	V.	Two Similar/Dissimilar Scenarios	526
[23.5]	VI.	Can Counsel Inquire of the Malpractice History	
		of Experts in Malpractice Cases?	527
[23.6]	VII.	Conclusion	527
Chapte		Anticipating the Jury Instructions in the Disput	ed
		Medical Malpractice Trial	
	S	Steven E. Pegalis, Esq.	
[24.0]	I.	Introduction	531
[24.1]	II.	Establishing the Basic Facts	531
[24.2]	III.	Burden of Proof	533
[24.3]	IV.	Quality Medical Care	534
[24.4]	V.	Judgment	535
[24.5]	VI.	Hindsight	537
[24.6]	VII.	Cause and Effect	538
[24.7]	VIII.	Fair Comment	540
[24.8]	IX.	Conclusion	542
Chapter	r 25 F	Preserving Issues for Review	
-	E	Barbara DeCrow Goldberg, Esq.	
	F	Richard J. Montes, Esq.	
[25.0]	I.	Introduction	547
[25.1]	II.	Importance of Preservation	547
[25.2]	III.	Offer of Proof	548
[25.3]	IV.	Objecting to Preserve the Issues	551
[25.4]		A. Improper Questions and Trial Court	
		Rulings	551
[25.5]		B. Loss of Parental Guidance	551
[25.6]		C. Errors in the Charge	552
[25.7]		D. Inconsistency in Jury Verdict	553
[25.8]		E. Conduct of Court and Opposing	
		Counsel	556
[25.9]	V.	Strategy Issues	559
[25.10]	VI.	Post-Verdict Issues	562
[25.11]		A. Collateral Source Hearing	562

[25.12]		В.	Effect of Tax Obligations on Amount of Award	564
[25.13]		C.	Preservation of Issues Concerning Weight	201
[]			and Sufficiency of Evidence	565
[25.14]		D.	Preservation of Issues Concerning Adequacy	
			of Award	566
[25.15]	VII.	Cor	nclusion	566
Chapte	r 26		Medical Indemnity Fund: Defense Counsel	
		Persp	pective	
		Niche	olas J. Marotta, Esq.	
[26.0]	I.	The	Medical Indemnity Fund	569
[26.1]		A.	Who Is a "Qualified Plaintiff"?	572
[26.2]		B.	What Constitutes a Birth-Related	
			"Neurological Injury"?	573
[26.3]		C.	The Allocation Issue	576
[26.4]		D.	The Calculation of the Defendants' Cost	
			Cash Settlement Obligation Factoring in	
			Attorney Fees	577
[26.5]		E.	Settlement Document Considerations	580
Chapte	r 27		Physician-Patient Privilege in Medical	
		-	oractice Litigation	
		Raym	ond W. Belair, Esq.	
[27.0]	I.	Intr	oduction	585
[27.0]		11111		587
[27.1]	II.		ope of Waiver of Physician-Patient Privilege	301
			·	587
[27.1]		Sco		
[27.1] [27.2]		Sco A.	Reports of Nontestifying Physicians	
[27.1] [27.2]		Sco A. B.	Reports of Nontestifying Physicians Records Pertaining to Unrelated	587
[27.1] [27.2] [27.3]		Sco A. B.	Reports of Nontestifying Physicians	587 589 591
[27.1] [27.2] [27.3] [27.4]		Sco A. B.	Reports of Nontestifying Physicians	587 589 591
[27.1] [27.2] [27.3] [27.4]		Sco A. B.	Reports of Nontestifying Physicians	587 589 591
[27.1] [27.2] [27.3] [27.4] [27.5]		Sco A. B.	Reports of Nontestifying Physicians	587 589 591 591
[27.1] [27.2] [27.3] [27.4] [27.5] [27.6]		Sco A. B. C. D.	Reports of Nontestifying Physicians	587 589 591 591 591
[27.1] [27.2] [27.3] [27.4] [27.5] [27.6] [27.7]	II.	Sco A. B. C. D.	Reports of Nontestifying Physicians	587 589 591 591 591
[27.1] [27.2] [27.3] [27.4] [27.5] [27.6] [27.7]	II.	Sco A. B. C. D.	Reports of Nontestifying Physicians	587 589 591 591 593 594 594
[27.1] [27.2] [27.3] [27.4] [27.5] [27.6] [27.6] [27.7] [27.8]	II.	Sco A. B. C. D.	Reports of Nontestifying Physicians	587 589 591 591 593 594
[27.1] [27.2] [27.3] [27.4] [27.5] [27.6] [27.7] [27.8] [27.9]	II.	Sco A. B. C. D.	Reports of Nontestifying Physicians	587 589 591 591 593 594 594 596
[27.1] [27.2] [27.3] [27.4] [27.5] [27.6] [27.7] [27.8] [27.9] [27.10]	II.	Sco A. B. C. D.	Reports of Nontestifying Physicians	587 589 591 591 593 594 594

[27.13]		a. Testimony Precluded on Basis of	
		Ex Parte Interviews	598
[27.14]		b. Decisions Not to Issue Orders for	
		Ex Parte Interviews	598
[27.15]		c. Orders Issued for Ex Parte	
		Interviews	599
[27.16]		d. Resolution: Arons v. Jutkowitz	600
[27.17]		C. Related Issues	604
[27.18]		1. Professional Duty to Keep Confidential	
		Medical Information	604
[27.19]		2. HIV Exception	605
[27.20]	IV.	Treating Physician as Expert Witness	605
[27.21]	V.	Standing of Records Custodian to Resist	
		Disclosure of Patient's Records	610
[27.22]	VI.	Defendant's Physical Condition	612
[27.23]	VII.	Actions for Alleged Wrongful Disclosure	614
[27.24]	VIII.	Miscellaneous Problems	616
[27.25]	IX.	Conclusion	617
Chanta	r 28 I	Hospital Quality Assurance Under New York	
Спарис		State Law	
		leffrey C. Gerson, Esq.	
[28.0]	I.	Governing Statutes	621
[28.1]	II.	Quality Assurance and Peer Review Records	021
[20.1]	11.	Exempt From Disclosure	621
[28.2]		A. Correspondence and Letters	622
[28.3]		B. Materials Related to Periodic Review of	022
[20.5]		Physicians' Credentials and	
		Competence	623
[28.4]		C. Protected Parties	623
[28.5]		Nonparty Physician or Employee	623
[28.6]			625
[28.7]		2. Defendant	
[28.8]		Defendant Chiropractors	625
		3. Chiropractors	625 626
	III.	Chiropractors D. Protective Orders	626
[28.9]	III.	Chiropractors D. Protective Orders Discoverable Records	626 626
[28.9] [28.10]	III.	Chiropractors D. Protective Orders	626
[28.9] [28.10] [28.11]	III.	3. Chiropractors	626 626 627
[28.9] [28.10]	III.	3. Chiropractors	626 626 627
[28.9] [28.10] [28.11]	III.	3. Chiropractors	626 626 627 627

[28.14]		E. Documents Related to Medical Equipment	(20
[20 15]		and Facilities Maintenance F. Documents Obtainable but Not Obtained	628
[28.15]		During Review Proceeding	629
[28.16]		G. Defendant's Statements During Peer Review	02)
[20.10]		and Quality Assurance Proceedings	629
[28.17]		H. Patient Test Results	630
[28.18]		I. Relevant Documents Not Prepared for Peer	020
		Review or Quality Assurance Purposes	631
[28.19]		J. Inapplicability of Statute	632
[28.20]	IV.	Incident Reports	633
[28.21]		A. Discoverability	633
[28.22]		B. Discoverability of Department of Health	
		Documents	635
[28.23]		C. Limited Protection for Reports Concerning	
		Outpatients	637
[28.24]		D. Disclosure of Documents Made in Regular	
		Course of Business	637
[28.25]	V.	In Camera Review	638
[28.26]	VI.	Protection of Documents Used by Nursing	
		Homes	639
[28.27]		A. Incident and Other Reports	639
[28.28]	X /XX	B. Quality Assurance Documents	640
[28.29]	VII.	Applicability of N.Y. Education Law in Federal	C 4 1
[20, 20]	37111	Courts	641
[28.30]	V III.	Summary	643
Chapte		Medication-Related Malpractice Claims	
	F	Robert P. Esgro, R.Ph., J.D.	
[29.0]	I.	Overview	647
[29.1]	II.	Types of Medication Errors	649
[29.2]		A. Wrong Dose	649
[29.3]		B. Wrong Drug	649
[29.4]		C. Wrong Directions	650
[29.5]		D. Drug Interactions	650
[29.6]		E. Excessive Duration	650
[29.7]		F. Poor Penmanship	651
[29.8]		G. High-Risk Drugs	651
[29.9]		H. Drug Utilization Review	651
[29.10]	117	I. Patient Interaction	652
[29.11]	III.	Ways to Reduce Medication Errors	652
[29.12]		A. Electronic Prescription	652

[29.13]		B. Hospital Medication Reconciliation	654
[29.14]	IV.	Potential Defenses to Medication Malpractice	
		Claims	654
[29.15]		A. Contributory and Comparative Negligence	654
[29.16]		B. Informed Consent	655
[29.17]		C. Assumption of the Risk	656
[29.18]	V.	Conclusion	656
Chapte	r 30	The Brain-Damaged Baby Case From a Defende	ant's
		Perspective	
		Peter C. Kopff, Esq.	
30.0]	I.	General Considerations in Preparing for Trial	659
[30.1]		A. Jury Selection	659
[30.2]		B. Defendant's Expert Witness Disclosure	659
[30.3]		C. Theme	660
[30.4]		D. Visual Aids	660
[30.5]		1. Reasons for Using Them	660
[30.6]		2. Enlargements	661
[30.7]		E. Presence During Trial	661
[30.8]		F. Practice/Rehearse	661
[30.9]	II.	Specific Preparation for the Trial in a Brain-	
		Damaged Baby Case	662
[30.10]		A. Chronology	662
[30.11]		B. Review of Pertinent Medical Texts	662
[30.12]		C. American College of Obstetricians and	
		Gynecologists Standards	663
[30.13]		D. Literature and Publications	663
[30.14]	III.	Identifying and Discrediting Plaintiff's	
		Expert	664
[30.15]		A. Publications	664
[30.16]		B. Website	665
[30.17]		C. Prior Testimony	665
[30.18]	IV.	Attacking the Parent of the Brain-Damaged Infant	665
[30.19]		A. Mother as Fact Witness	665
[30.17]		B. Mother with Expertise	666
[30.20]		C. Mother Not Trustworthy	666
[30.21]		D. Disputed Cause of Placenta Previa	667
[30.22]		E. Jury Selection	667
[30.24]	V.	Attacking Plaintiff's Theory of Causation at	007
[50.21]	٠.	Trial	667
[30.25]	VI.		668
[]			200

[30.26] [30.27] [30.28] [30.29] [30.30] [30.31] [30.32]	VII	B. Neonatologist	668 669 669 669 670
Chapte	r 31	The Wrongful Death Case From a Defendant's	
		Perspective—General Considerations	
[21.0]	т	Peter C. Kopff, Esq.	
[31.0]	I.	General Considerations in a Wrongful Death Lawsuit	675
[31.1]		A. Preparing to Properly Defend a Wrongful Death Case Presents Challenges and	
[31.2]		Opportunities for Defense Counsel B. Damages in a Wrongful Death Action Should Be Defined in the Pleadings: The Complaint	675 !
			676
[31.3]		C. Damages in a Wrongful Death Action	677
[31.4]		D. Self-Consumption	677
Chapte	r 32	The Wrongful Death Case From a Plaintiff's Perspective	
500.03	_	Lauren Pennisi, Esq.	
[32.0]	I.		681
[32.1]	II.	, E	681
[32.2]	III.	Damages Recoverable in Wrongful Death	682
[22 2]			682
[32.3] [32.4]			683
[32.4]			684
[32.6]			685
[32.7]			685
[32.8]			687
[32.9]		Actions for Wrongful Death on Behalf	007
[]		——————————————————————————————————————	687
[32.10]			688
[32.11]		3. Videotaped Examinations Before	
		<u>-</u>	688
[32,12]	IV.	Conclusion	689

Contributor Biographies	691
Table of Authorities	705
Index	733

PREFACE

In 1993, the New York State Bar Association published *Medical Mal*practice in New York. The book was designed to provide attorneys trying these cases with practical tips and fundamental principles in one volume.

This edition is not an update. It is intended to present a more advanced insight into various aspects of the trial of a medical malpractice case, with many observations that apply to the trial of any case. We are fortunate to have recognized leaders in our field contribute their knowledge and wisdom to give us the benefit of their experiences. It is certainly a better substitute for our own trial-and-error approach, which usually has a heavy emphasis on the error aspect. "Seasoning" as a trial lawyer is hard to come by. These authors give such guidance to all of us.

A special thanks must go to Daniel McMahon and his staff at the New York State Bar Association. Their cite checking, fact checking and organizing have contributed greatly to the high-quality publication that our readers deserve.

Robert Devine Editor-in-Chief

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Robert F. Elliott is a partner at Bartlett, McDonough & Monaghan, LLP. Over the last 20 plus years Mr. Elliott has had more than 50 defense verdicts in complex litigation trials ranging from medical malpractice to municipal and premises liability and construction accidents in a wide variety of jurisdictions. Most of these were multi-million dollar matters ranging from eye injuries, orthopedic injuries including amputations, to neurologically impaired children and adults. He regularly represents licensees before Professional Discipline Boards. He has handled numerous billing and compliance matters for Hospitals, Long Term Care Facilities and Residential Care Providers. He has a specific specialty in Mental Health Law and Litigation.

Mr. Elliott regularly teaches attorneys through continuing legal education and also teaches health care providers at continuing medical education seminars. His presentations range from healthcare ethics to medical liability.

Mr. Elliott is a graduate of Downstate Medical Center where he received a Bachelor of Science in Nursing. He worked as a registered professional nurse, hospital risk manager and safety officer from 1981 through 1989 when he graduated from Brooklyn Law School with his J.D. degree.

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David Freeman is a senior litigation attorney at the law firm of Philip J. Rizzuto, P.C., where he is the director of the Nursing Home Litigation Unit. He graduated from Brooklyn Law School in 1992 and worked as a trial litigation law clerk while attending law school. He had previously

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Jeffrey C. Gerson is a partner in the Garden City, New York office of Kaufman Borgeest & Ryan LLP. He received his law degree from Franklin Pierce Law Center in 1991. His primary area of practice is the defense of physicians, hospitals, nursing homes, podiatrists, dentists and other health-care providers. Mr. Gerson has lectured extensively in New York and other states on various topics in malpractice litigation and risk management. He is licensed to practice in New York and Connecticut.

BARBARA DECROW GOLDBERG, ESQ.

Barbara DeCrow Goldberg is a partner at Martin, Clearwater & Bell, and the head of its appellate department. With more than 20 years of experience in appellate litigation, Ms. Goldberg has handled a wide variety of appeals and is noted for several important decisions in the areas of medical malpractice, negligence, workers' compensation and products liability law. Ms. Goldberg is a *magna cum laude* graduate of Radcliffe College and obtained her law degree from Boston University School of Law, where she graduated *cum laude*. She is the author of several articles on products liability, medical malpractice, and evidentiary issues, and has frequently lectured at continuing education programs. In addition, Ms. Goldberg is a member of the OCA's Advisory Committee on Civil Practice.

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Bruce G. Habian is a senior partner in the New York City law firm of Martin Clearwater & Bell. His primary areas of practice include medical malpractice defense, hospital liability and attorney malpractice defense. Mr. Habian has specialized in these areas for more than 30 years. Mr. Habian has served as a faculty member for the Practising Law Institute and the Law Journal Seminars-Press (he regularly presents programs on breast cancer and neurologically impaired infant cases). Mr. Habian has been published by Matthew Bender Corporation and The Williams-Wilkins Publishing Corporation. He has served as a faculty member of the National

Institute of Trial Advocacy, is continually listed in Best Lawyers in America in his specialty and is a fellow of the American College of Trial Lawyers.

E. MARC HYMAN, ESQ.

E. Marc Hyman is a partner in the law firm Heidell, Pittoni, Murphy & Bach, LLP. He obtained his J.D. degree from the Boston University School of Law in 1984. He has practiced medical malpractice law in New York for 20 years. He has lectured on related topics for CLE programs by the New York State Bar Association, the Practising Law Institute and the New York County Bar Association, as well as in other forums. He is a member of the New York State Medical Defense Bar Association.

SHAWN P. KELLY, ESQ.

Shawn P. Kelly is the senior partner of Kelly, Rode & Kelly, LLP. He handles a broad range of civil litigation matters with a particular interest in the defense of medical and hospital malpractice matters as well as general liability matters. He was admitted to the NYS Bar in January of 1978 and has earned a rating of AV by his peers in Martindale-Hubbell.

He received his undergraduate degree from the University of Notre Dame in South Bend, Indiana and graduated in 1974. He then earned his JD degree from St. John's Law School in Queens in 1977 and was admitted to the Bar in January of 1978. In 1978 he successfully completed the NITA program, an intensive trial advocacy program, at Cornell University in Ithaca, New York and later was asked to become an instructor of the program. He is an adjunct faculty member of Hofstra University School of Law and has also lectured at St. John's School of Law.

He is admitted to practice before all state courts in New York, the Eastern and Southern Districts of the Federal District court in New York, the United States Supreme Court and the United States Court of Appeals for the Armed Forces. He is also a member of the New York State Bar Association where he is a member of the Torts, Insurance and Compensation Law (TICL) Section as well as the Trial Lawyers Section. He has lectured widely on matters of trial practice to the New York State Bar Association, the Practicing Law Institute (PLI), the Nassau Academy of Law, and the Law Department for the City of New York.

PETER C. KOPFF, ESQ.

Peter Kopff, of Peter C. Kopff, LLC in Garden City, New York, defends physicians and hospitals in medical malpractice lawsuits. He received his A.B. from Princeton University in 1970 and his J.D. from Albany Law

School in 1975. Mr. Kopff is a past president and founding board member of the New York State Medical Defense Bar Association. He is also a member of the Executive Committee of the New York State Bar Association's Trial Lawyers Section and has served as the program chair or cochair of that section's 2004–2008 summer meetings.

DANIEL KRAMER, ESQ.

Daniel Kramer has co-authored books on medical malpractice and evidence in negligence cases for the Practising Law Institute. He co-wrote a column titled "Medical Malpractice" for the *New York Law Journal* from 1977 until 1989. He is an adjunct professor of law at St. John's Law School, where he teaches legal medicine. He has lectured numerous times for the New York State Trial Lawyers Association and the New York State Bar Association on medical malpractice. He chaired the medical malpractice subcommittee of the New York State Trial Lawyers Association. Presently, Mr. Kramer is retired and spending his winters in Scottsdale, Arizona.

STANLEY A. LANDERS, ESQ.

Stanley A. Landers is senior partner in the law firm of Landers & Cernigliaro, P.C. in Carle Place, Nassau County. The firm concentrates on plaintiffs' medical malpractice. A graduate of St. John's University School of Law, Mr. Landers is a member of the American Association for Justice, the New York State Trial Lawyers Association, the New York State Bar Association, the Nassau County Bar Association and the Queens County Bar Association.

AMY G. LONDON, ESQ.

Amy G. London is a senior counsel in the Tort Division of the New York City Law Department. She graduated from Brown University in 1980 and received her law degree from the University of Pennsylvania in 1983. Her primary areas of practice are municipal liability and medical malpractice. She is author of an article titled "Mediation Offers Promise but No Cure for System's Ills," published in the *New York Law Journal*. She has also served as a continuing legal education instructor on the subject of defending EMS cases.

D. CARL LUSTIG, III, ESQ.

D. Carl Lustig, III is a partner at the Manhattan law firm of Arye, Lustig & Sassower, P.C., and handles the trials and appeals of major personal injury cases on behalf of plaintiffs. His primary area of concentra-

tion is construction accident litigation under the New York State Labor Law.

Mr. Lustig has written law review articles that have appeared in the New York State Trial Lawyers Institute publication, The Trial Lawyers Quarterly, and other legal periodicals. He has lectured extensively for various entities including the New York State Trial Lawyers Institute, The Practicing Law Institute, the New York State Bar Association and Yale University and has served as co-chairperson of a number of lecture series for continuing legal education in the area of personal injury trial practice. He has also lectured for the National Institute of Trial Advocacy program at Hofstra University School of Law on Trial Techniques, and at St. John's University School of Law on Alternate Dispute Resolution/Mediation in personal injury cases. Mr. Lustig also has been an invited speaker at the New York State Judicial Conference and has lectured at the New York City Bar Association in their continuing legal education seminar on trials of civil cases.

MATTHEW MAIORANA, ESQ.

Matthew Maiorana is a partner at Queller, Fisher, Washor, Fuchs & Kool, L.L.P. He began his legal career defending cases brought by injured persons against the City of New York. His litigation skills and talents were recognized immediately and he was entrusted with defending the City of New York in the most serious and complex matters in the Special Litigation Unit. His efforts earned him a Municipal Affairs Award from the Association of the Bar of the City of New York, as well as numerous awards directly from the City of New York.

After spending years in practice defending the City of New York, Mr. Maiorana decided to represent private individuals injured through the negligence of others. Today, his practice focuses on the litigation and trial of medical malpractice, serious injury and wrongful death claims. From 2013 until the present, he has been included in Super Lawyers every year. He practices in both state and federal courts throughout New York. He is also a member of various bar associations in New York, including the New York State Bar Association and the New York State Trial Lawyers Association.

STUART MANZIONE, ESQ.

Stuart Manzione is a partner at Furey, Furey, Leverage, Manzione, Williams & Darlington, P.C. He focuses his practice on the defense and trial of medical malpractice and professional negligence cases involving phy-

sicians, dentists, hospitals and nursing homes. He also handles matters involving the trial of premises liability, products liability, automobile liability and general negligence matters involving serious and/or catastrophic injury and death.

Mr. Manzione is admitted to practice in the State of New York and is also admitted in the United States District Court, Eastern and Southern Districts of New York. He is also admitted to the Federal Court of Appeals and is admitted to argue before the Supreme Court of the United States. He is also a member of the Nassau County Bar Association and the Trial Lawyers Association of Nassau and Suffolk Counties. He has lectured to physicians and hospital staff regarding risk reduction strategies in several areas of patient care. He has also lectured on various topics of interest to the insurance defense community at seminars sponsored by Furey & Furey and teaches paralegal studies at C.W. Post College.

Mr. Manzione earned his Juris Doctor degree in 1987 from St. John's University School of Law. He graduated *summa cum laude* and salutatorian from Long Island University, C.W. Post in 1984.

NICHOLAS J. MAROTTA, ESQ.

Nicholas J. Marotta was graduated from St. John's University School of Law in 1985. Following graduation, he was an assistant corporation counsel of the city of New York, ultimately becoming a senior trial attorney in the medical malpractice unit. Since 1990, Mr. Marotta has continued to concentrate his practice in the defense of medical malpractice claims, successfully representing physicians at trial in significant and complex matters. Mr. Marotta was selected by his peers for inclusion in *The Best Lawyers in America 2008*. He frequently has lectured on medical malpractice issues at various New York—area hospitals. He has been a partner at the firm of Aaronson Rappaport Feinstein & Deutsch, LLP since 1994 and is admitted to practice in New York, Connecticut and the U.S. District Courts for the Eastern and Southern Districts of New York.

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Jeffrey A. Marshall is a partner at Marshall Conway & Bradley P.C. His areas of practice include arbitration, mediation, construction accidents (involving violations of N.Y. State Labor Law), dram shop, insurance law, liquor liability law, motor vehicle, premises liability, products liability law, and property & casualty. He received a B.A. at the State University of New York at Stony Brook and a J.D. at the New England School of Law.

HENRY G. MILLER, ESQ.

Henry G. Miller, a graduate of St. John's College (1952) and St. John's Law School (1959), is past president of both the Westchester County Bar Association and the New York State Bar Association. He is a past regent of the American College of Trial Lawyers, a past director of the International Academy of Trial Lawyers, a fellow of both the American and New York Bar Foundations and a past director of the New York State Trial Lawyers Association. Mr. Miller is presently the senior member of the White Plains, New York law firm of Clark, Gagliardi & Miller, P.C. His trial work has usually been for plaintiffs in civil cases. He has frequently appeared on television and radio. He is the author of the Settlements in the Art of Advocacy series, as well as editor of New York Practice Guide on Negligence, both published by Matthew Bender, and most recently, On Trial: Lessons from a Lifetime in the Courtroom, published by ALM Publishing.

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Richard J. Montes is a partner in the law firm of Mauro Lilling Naparty LLP in Woodbury, New York, which focuses its practice on appellate advocacy and litigation. He is a former law clerk for the justices of the Massachusetts Superior Court, a judge of the Massachusetts Appeals Court and Justice Anthony Marano, Nassau County. He graduated *cum laude* from Georgetown University and *cum laude* from Boston University School of Law. He is also the current chairperson of the Suffolk County Bar Association's Appellate Committee and is president of the Long Island Hispanic Bar Association.

STEVEN E. NORTH, ESQ.

Steven E. North is a partner at North & Deutsch LLP. Mr. North has been listed as having achieved some of the highest verdicts in the state of New York. He has been included in *Best Lawyers in America* for more than the past ten years and has been named in the *New York Times*, Super Lawyers Section—Top New York Lawyers, *New York Magazine*'s New York Area's Best Lawyers and Law Dragon's Leading Plaintiff Lawyers in America. Mr. North is a legal commentator on television, a lecturer at law schools, a contributing author to the *New York Law Journal* and author of more than 20 legal publications, including a text on deposition tactics and strategy. He has also been a guest lecturer at medical schools and teaching hospitals in the New York metropolitan area. He serves as a referee for the New York State Commission on Judicial Conduct.

STEVEN E. PEGALIS, ESQ.

Steven E. Pegalis is the founding partner of Pegalis & Erickson in Lake Success, New York. He has spent his legal career representing plaintiffs in personal injury and medical malpractice cases. He earned his B.A. from Queens College of the City of New York and his J.D. from New York Law School. Mr. Pegalis has been in his own firm since 1972. He authored *American Law of Medical Malpractice*, Volumes I, II, and III, to help other trial attorneys understand the medical aspects of particular malpractice cases. He has lectured for the New York State Bar Association, the New York State Trial Lawyers Association, the New York Law Journal-Seminars Press Series and the Practising Law Institute on medical malpractice litigation and trial practice. Mr. Pegalis is a member of the board of trustees of New York Law School and the National CLE Program Advisory Committee of the American Bar Association on "The Experts Analyze Brain-Damaged Baby Cases." He also is an adjunct professor of medical malpractice at New York Law School.

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David Pollack maintains a law office in Port Washington, New York. He received his law degree from New York Law School in 1980. His practice is focused on the representation of plaintiffs in medical malpractice and personal injury cases and as trial counsel to the profession. Mr. Pollack has been an attorney adviser to the local high school mock trial team for 15 years and served as a director of the New York State Trial Lawyers Association for 9 years. He has been recognized with an A-V rating by Martindale-Hubbell, a listing in the *Bar Register of Preeminent Lawyers* and *The Best Lawyers in America*. He is also a member of the Million Dollar Advocates Forum.

THOMAS J. PRINCIPE, ESQ.

Thomas J. Principe is a partner in the New York City law firm of Kramer, Dillof, Livingston & Moore, where his practice focuses on civil litigation, including medical malpractice, products liability and general negligence. He is a 1973 graduate of St. John's University School of Law. A brigadier

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DANIEL RATNER, ESQ.

Daniel Ratner is a senior partner at Levy Ratner, P.C. He began his career in labor with 12 years as an organizer for 1199SEIU and the UAW. His legal career has spanned over 25 years, during which time he has represented a variety of unions in the profit and not-for-profit sectors, including the SEIU, Teamsters and the UAW. He currently serves as General Counsel to 1199SEIU, representing over 300,000 healthcare workers.

Mr. Ratner has litigated labor disputes extensively before the NLRB and the federal courts. He was a member of the AFL-CIO national litigation team that established the health care bargaining unit rules through NLRB rule making and subsequent litigation up to the United States Supreme Court. He has participated in national oral arguments before the NLRB on the issues of RN supervisory status, independent contractor status, and joint employee status of agency workers. He is responsible for the legal victory that initially established the right of graduate teaching assistants to organize under the NLRA in New York University.

JOYCE LIPTON ROGAK, ESQ.

Joyce Lipton Rogak is a trial attorney at Rogak & Gibbons, LLP as well as the firm's managing attorney. Prior to forming Rogak & Gibbons, LLP in 2000, she was a partner and the head of the medical malpractice department of Rivkin, Radler & Kremer. Ms. Rogak has successfully defended numerous cases in the New York State Supreme Courts. Along with her trial experience, Ms. Rogak has handled every other aspect involving medical, podiatric and dental malpractice, including preparing and arguing Appeals, as well as product liability, personal injury and liability defense. She also defends physicians before the OPMC. She is the author of several articles that have appeared in specialty medical newsletters and legal journals.

Ms. Rogak was admitted to the New York State Bar and United States District Court for the Eastern and Southern Districts of New York in 1981. She is a member of the Nassau County Bar Association and the New York State Bar Association. Ms. Rogak received her Juris Doctorate degree from Hofstra University Law School in 1980 and her Bachelor of Arts degree, *magna cum laude*, from Brooklyn College, in 1976.

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Robert G. Vizza is a partner at Bartlett, McDonough & Monahan LLP. He heads the firm's Appellate Practice area, dealing with legal issues arising from medical malpractice litigation as well as premises and workplace liability and civil rights cases.

In addition to depositions, trials and appeals, Mr. Vizza assists clients with financial audits, litigation cost schedules and verdict exposure estimates, privileged quality assurance investigations and Dept. of Health inquiries including matters before the Office of Professional Medical Conduct, prospective risk management and quality of care issues, and regulatory compliance issues such as HITECH.

While at Dartmouth College, Mr. Vizza was the President of the Nathan Smith Society for Pre-Health Professionals (pre-med/dental/veterinary students). He served as the Editor in Chief of Res Gestae, the St. John's University School of Law yearbook.

ROBERT J. WALKER, ESQ.

Robert J. Walker, a partner at Gallagher, Walker, Bianco & Plastaras in Mineola, New York, focuses on medical malpractice and insurance defense cases. He has many years of experience as a trial attorney responsible for the defense of medical and dental malpractice, products liability, and general liability cases from inception through trial. He received a B.A. in psychology from St. John's University and a J.D. from St. John's University School of Law.

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Barry Washor is a senior partner at Queller, Fisher, Washor, Fuchs & Kool, L.L.P. He began his career on the defense side, working for an insurance defense law firm representing doctors and hospitals. By age 27 he was a partner at that law firm. In 1988 he left the defense side to become a plaintiff's attorney. His experience as a defense attorney gave

him a unique and valuable insight into how insurers defend and evaluate medical malpractice lawsuits.

Mr. Washor has been widely recognized as an expert in his field and is consistently sought after to share his knowledge and experience with other lawyers and law students. He teaches trial advocacy at Cardozo Law School and is a frequent lecturer in trial advocacy for the New York State Trial Lawyers Association (NYSTLA). Since 2002, he has served on NYSTLA's Board of Governors, and is a member of its medical malpractice committee.

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ELLIOTT C. WINOGRAD, ESQ.

Elliott C. Winograd died in 2016. Over his 50-year legal career, Mr. Winograd tried more than 500 jury cases to verdict, served as the Chief Negotiator for the Civil Division of the Law Department for the City of New York, and regularly donated his time as a pro bono Special Master, mediator and arbitrator with the Supreme Court, Nassau County.

DANIEL WOODARD, ESQ.

Daniel Woodard is a partner with Phillips & Paolicelli, LLP, which focuses on litigating medical malpractice, infant lead paint poisoning, toxic exposure and other complex personal injury cases in New York and New Jersey as well as other states around the country.

Mr. Woodard has a diverse background and experience in successfully litigating numerous types of complex legal actions, including representing individuals injured by chemical explosions, dangerous premises, children exposed in utero to harmful chemicals causing birth defects and those injured by defective products.

A trial lawyer at heart, Mr. Woodard has attained numerous multi-million dollar verdicts and settlements on behalf of his clients, many of whom are young children, catastrophically injured as a result of receiving

negligent medical care or being exposed to toxic substances and chemicals. He has also successfully represented lead poisoned children, construction workers injured on the job by dangerous conditions and dangerous products and young children born with birth defects.

Mr. Woodard is licensed to practice law in New York State and New Jersey. In addition, he is licensed to appear before the Federal Courts in both New York and New Jersey. He is also a member of the New York State Bar Association, the New Jersey Bar Association, the American Bar Association, the American Association for Justice, and the New York State Trial Lawyers Association.