

SUPREME COURT OF THE STATE OF NEW YORK
COUNTY OF _____

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In the Matter of the Application of _____
for the Appointment of a Guardian
of the Person and Property of

Index No. _____

An Alleged Incapacitated Person

INITIAL REPORT
OF GUARDIAN

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TO THE SUPREME COURT, STATE OF NEW YORK:

_____, Guardian of the Property of _____, hereby
submits the Initial Report pursuant to MHL § 81.30:

1. _____ has completed the guardian education requirements pursuant to §
81.39 of the MHL at [Name of Sponsoring Organization]'s certified program on
[date(s)] _____ and _____. A copy of the certificate is attached.

2. Property: The Guardian is still arranging to have all of the assets of _____
marshaled. The Guardian has contacted all of the financial institutions to have the name of the
accounts changed to that of _____, as Guardian for _____.

(a) An inventory of the safe deposit box at _____ Bank was made; enclosed is a
copy of the safe deposit box inventory listing the stock certificates and bonds. Subsequently, the
safe deposit box was vacated while the Guardian and _____ were at _____; the
key was returned to _____.

(b) Stocks and Bonds: Many of the bond coupons for the past few months or years had not
been collected. The Guardian had the bonds placed with a broker for security purposes, to check
on the status of the bonds and the status of the unpaid interest coupons. The interest coupons for
the prior months and years have now been collected. In addition, several of the bonds had
previously been called by the company. The broker has collected the redemption on all of the
previously called bonds. The stock certificates were also placed in the hands of a broker; one of
the stock certificates had been previously called by the company in [year], and that certificate
was redeemed by the broker. Additional funds from the _____ have been
deposited with the broker for purchase of additional tax-free municipal bonds. Copies of the
current brokerage statements for the bonds and stocks are attached to this report.

(c) Accounts: _____ maintained several accounts at _____ Bank totaling
\$_____.

3. The bank funds that have been collected in the name of the Guardian to date are: