

**2015 Statistical Reports of  
Disciplinary Committees**

# **First Department**

**Appendix E: Annual Report to OCA**

UCS-176 Rev. 01/03

**ATTORNEY DISCIPLINE ACTIVITIES**

PERIOD COVERED: ANNUAL 2015

FIRST JUDICIAL DISTRICT

FIRST DEPARTMENT

**I. MATTERS PROCESSED:**

A.	Matters Pending at Start of Period	<u>1012</u>	
B.	New Matters During Period	<u>2709</u>	
C.	Closed Matters Reactivated During Period	<u>72</u>	
D.	Total Matters to be Processed During Period (A+B+C)		<u>3793</u>
E.	Total Matters Disposed of During Period		<u>3146</u>
F.	Matters Pending at End of Period		<u>647</u>

**II. MATTERS DISPOSED OF BY COMMITTEE:**

	Cases <sup>2</sup>	Matters
A.	Rejected as Failing to State a Complaint	<u>301</u> <u>361</u>
B.	Referred to Other Disciplinary Committees	<u>308</u> <u>316</u>
C.	Referred to Other Agencies	<u>109</u> <u>117</u>
D.	Dismissed or Withdrawn	<u>1830</u> <u>2073</u>
E.	Dismissed through Mediation	<u>37</u> <u>37</u>
F.	Letter of Caution	<u>n/a</u> <u>n/a</u>
G.	Letter of Admonition	<u>89</u> <u>99</u>
H.	Reprimand (after hearing)	<u>1</u> <u>1</u>
I.	Referred to Appellate Division (Disc. Proc.)	<u>136</u> <u>186</u>
	Total Disposed of During Period	<u>2811</u> <u>3190</u>

**III. CASES PROCESSED IN ALL COURTS:**

A.	Cases Pending at Start of Period		<u>49</u>
	1. Disciplinary Proceedings	<u>38</u>	
	2. Other	<u>11</u>	
B.	Cases Received During Period		<u>389</u>
	1. Disciplinary Proceedings	<u>88</u>	
	2. Other	<u>300</u>	
C.	Total to be Processed During Period		<u>437</u>

**ATTORNEY DISCIPLINE ACTIVITIES** (01/15-12/15)

D.	Cases Closed		
	1. Disbarred	20	
	<b>2. Disciplinary Resignations</b>	10	
	3. Suspended <sup>③</sup>	24	
	4. Censured	5	
	5. Privately Censured <sup>④</sup>	3	
	6. Remanded to Disciplinary Committee	11	
	7. Discontinued <sup>⑤</sup>	11	
	8. Dismissed	2	
	9. Reinstatements Granted <sup>⑥</sup>	149	
	10. Reinstatements Denied <sup>⑦</sup>	2	
	<b>11. Non-Disciplinary Resignations</b>	65	
	12. All Other Dispositions	64	
	13. Total Closed		366
A.	Total Cases Pending at End of Period		46
	1. Disciplinary Proceedings	33	
	2. Other	13	

- ① “Matter” includes complaints, inquiries (excluding telephone inquiries) and *Sua Sponte* investigations.
- ② Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.
- ③ Includes (12) definite, (11)interim and (1) indefinite suspensions.
- ④ Reported as “Privately Reprimanded” in the First Department.
- ⑤ Reported as “Withdrawn” in the First Department.
- ⑥ Includes (16) disciplinary reinstatements, (130) 468-a reinstatements and (3) non-disciplinary reinstatements.
- ⑦ Includes (1) disciplinary reinstatement and (1) 468-a reinstatement.

## **Second Department**

**ATTORNEY DISCIPLINARY ACTIVITIES**  
 PERIOD COVERED - ANNUAL - January 1, 2015 to December 31, 2015

SECOND, ELEVENTH AND THIRTEENTH JUDICIAL DISTRICTS

SECOND DEPARTMENT

**I. MATTERS PROCESSED:**

A.	Matters Pending at Start of Period	1,115	
B.	New Matters During Period	1,611	
C.	Closed Matters Reactivated During Period	15	
D.	Total Matters to be Processed During Period (A+B+C)	2,741	
E.	Total Matters Disposed of During Period	1,909	
F.	Matters Pending at End of Period	832	

**II. MATTERS DISPOSED OF BY COMMITTEE:**

CASES\*    MATTERS\*\*

A.	Rejected as Failing to State a Complaint	966	966
B.	Referred to Other Disciplinary Committees	338	338
C.	Referred to Other Agencies	42	42
D.	Dismissed or Withdrawn	189	189
E.	Dismissed through Mediation	7	7
F.	Letter of Caution	102	103
G.	Letter of Admonition	44	66
H.	Reprimand	1	1
I.	Referred to Appellate Division (Disc. Proc.)	148	158
J.	Other***	13	39
Total Disposed of During Period (Same as I E above)		1,850	1,909

**III. CASES PROCESSED IN ALL COURTS:**

A.	Cases Pending at Start of Period	63	
	1. Disciplinary Proceedings****	36	
	2. Other*****	27	
B.	Cases Received During Period	83	
	1. Disciplinary Proceedings	30	
	2. Other	53	
C.	Total to be Processed During Period	146	

D. Cases Closed

1.	Disbarred	<u>16</u>	
2.	Disciplinary Resignations	<u>5</u>	
3.	Suspended*****	<u>23</u>	(See #3 below)
4.	Censured	<u>5</u>	
5.	Privately Censured	<u>0</u>	
6.	Remanded to Grievance Committee	<u>4</u>	
7.	Discontinued	<u>1</u>	
8.	Dismissed	<u>0</u>	
9.	Reinstatement Granted	<u>5</u>	
10.	Reinstatement Denied	<u>5</u>	
11.	Non-Disciplinary Resignations	<u>17</u>	
12.	All other Dispositions	<u>12</u>	
13.	Total Closed		<u>93</u>

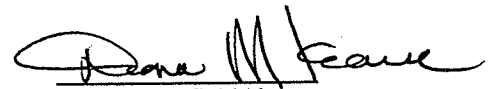
Total Cases Pending at End of Period 53

E.

1.	Disciplinary Proceedings	<u>24</u>
2.	Other	<u>29</u>

Dated: Brooklyn, New York  
15-Jan-16

Respectfully Submitted,

  
Diana Maxfield Kearse  
Chief Counsel

\* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

\*\* Matters represents individual complaints, inquiries and sua sponte investigations. This does not include telephone inquiries.

\*\*\* Other for the purposes of Part II includes files closed for any reason not otherwise covered under Sections A -I (e.g., files pending but not included within the disciplinary proceeding at the time of respondent's disbarment; files closed pending outcome of ongoing litigation; files closed due to respondent's death).

\*\*\*\* Disciplinary Proceeding includes only those proceedings before the Court which may result in final discipline including: any Court ordered disciplinary proceeding, motion to strike for felony conviction, reciprocal discipline, resignation under investigation.

\*\*\*\*\* Other for the purposes of Part III includes all other proceedings before the Court which may not result in final discipline (e.g., motion for interim suspension, petition for authorization, application for medical exam, application for conservator, motion to reargue, appeals, application for reinstatement, notification to Court of criminal conviction, voluntary resignation and request for disclosure order).

#3 Reflects only one (1) suspension counted although 125 attorneys were suspended as a result of the Mass Suspension order dated 3/30/15.

\*\*\*\*\*Suspended includes definite, interim, and indefinite suspensions.

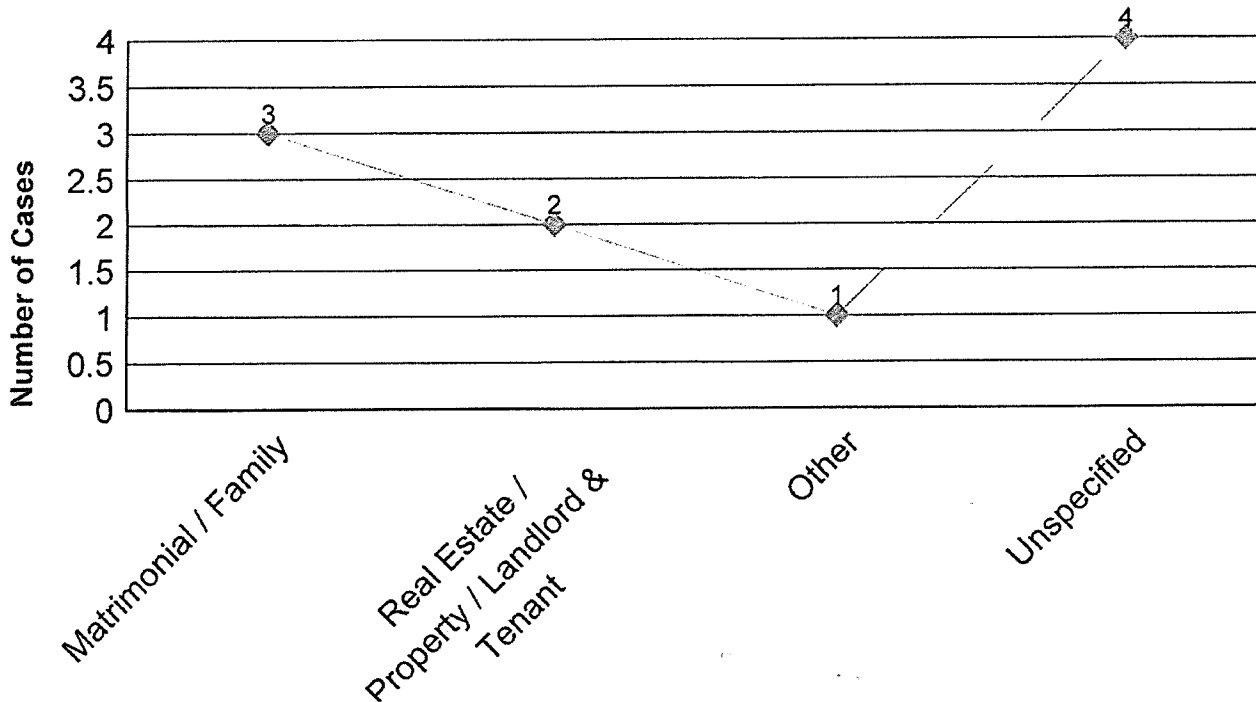
## Brooklyn Bar Association Quarterly Activity Report: 2015

	First Quarter	Fourth Quarter	Total
Cases Closed	3	7	10
Average Number of Weeks from Intake to Disposition	2.3	59.0	34.7
<u>Cases Arbitrated or Settled During Arbitration</u>			
Cases Assigned to One Arbitrator	0	5	5
Cases Assigned to Three Arbitrators	0	1	1
Total Admin. Fees Collected from Parties	\$0.00	\$1,575.00	\$1,575.00
Average Amount in Dispute (All Cases)	\$28,540.67	\$5,631.00	\$12,503.90

### Filing Parties

Client	Not Reported
7	3

### Case Type Information



## Disposition Information

	Number of Cases
Arbitrated - Award Issued	4
Arbitrated - No Award Issued	2
Claim Withdrawn	1
Lack of Jurisdiction	2
Others	1
<b>Total</b>	<b>10</b>

### Cases Dismissed for Lack of Jurisdiction

	Number of Cases
<i>Amount in Dispute &gt; \$50,000</i>	1
<i>Services Provided Outside Local Program's Geographic Jurisdiction</i>	1



Organized 1876

# QUEENS COUNTY BAR ASSOCIATION

90-35 148<sup>th</sup> Street, Jamaica, New York 11435 · (718) 291-4500 · Fax: (718) 657-1789 · WWW.QCBA.ORG

July 27, 2016

**GREGORY J. BROWN**  
*President*

Gregory J. Newman  
*President-Elect*

Hilary Gingold  
*Vice President*

Marie-Eleana First  
*Secretary*

Richard H. Lazarus  
*Treasurer*

Diana Maxfield Kears, Chief Counsel  
State of New York Grievance Committee  
For the Second, Eleventh and Thirteenth Judicial Districts  
Renaissance Plaza, Suite 2400  
335 Adams Street  
Brooklyn, NY 11201

Re: Grievance Committee  
Annual Statistics – 2015

Dear Ms. Kears:

Pursuant to the usual request of your office find the following statistics covering the above captioned period.

Class of 2017  
Joseph F. DeFelice  
Adam M. Orlov  
Paula Pavlides  
Lourdes M. Ventura  
Clifford M. Welden

Dismissals – 14  
Dismissal with Letter of Advisement – 4  
Dismissals with Letter of Caution – 0  
Referred to the NYS Grievance Committee for appropriate action and disposition – 2  
Withdrawn – 0  
Matters tabled by the Committee – 0

Class of 2018  
Karina E. Alomar  
Joseph Carola III  
Deborah M. Garibaldi  
Jeffrey D. Lebowitz  
Michael Serres

Class of 2019  
Michael D. Abneri  
Frank Bruno, Jr.  
Kristen Dubowski Barba  
Charles A. Giudice  
Paul E. Kerson

I hope these statistics will help you in making your report. If any further information is needed please call me.

Yours truly,

ARTHUR N. TERRANOVA  
Executive Director

Arthur N. Terranova  
*Executive Director*

**OVER 139 YEARS OF DEDICATED SERVICE**

**ATTORNEY DISCIPLINARY ACTIVITIES**  
**YEAR-END 2015 (January 1 - December 31, 2015)**

NINTH JUDICIAL DISTRICT

SECOND DEPARTMENT

**I. MATTERS PROCESSED:**

	<u>Cases</u>	<u>Matters</u>
A. Matters Pending at Start of Period	1059	690
B. New Matters During Period	957	957
C. Closed Matters Reactivated During Period	32	32
D. Total Matters to be Processed During Period (A+B+C)	2048	1679
E. Total Matters Disposed of During Period	1170	1201
F. Matters Pending at End of Period	878	478

**II. MATTERS DISPOSED OF BY COMMITTEE:**

	<u>Cases</u>	<u>Matters</u>
A. Rejected as Failing to State a Complaint	424	424
B. Referred to Other Disciplinary Committees	165	165
C. Referred to Other Agencies	52	52
D. Dismissed or Withdrawn	280	280
E. Dismissed through Mediation	0	0
F. Letter of Caution	106	106
G. Letter of Admonition	62	62
H. Reprimand	0	0
I. Referred to Appellate Division (Disc. Proc.)	53	84
J. Other	28	28
 Total Disposed of During Period (same as I E above):	 1170	 1201

**III. CASES PROCESSED IN ALL COURTS:**

	<u>Cases</u>	<u>Matters</u>
A. Cases Pending at Start of Period	36	108
1. Disciplinary Proceedings	15 (81)	
2. Other	21 (27)	
 B. Cases Received During Period	 53	 84
1. Disciplinary Proceedings	19 (38)	
2. Other	34 (46)	
 C. Total to be Processed During Period	 89	 192

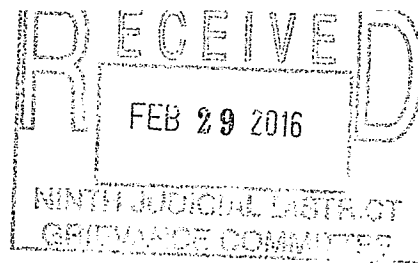
ATTORNEY DISCIPLINARY ACTIVITIES

D. Cases Closed	<u>Cases</u>	<u>Matters</u>
1. Disbarred	4	7
2. Disciplinary Resignations	1	1
3. Suspended	6	15
4. Censured	2	2
5. Privately Censured	0	0
6. Remanded to Grievance Committee	0	0
7. Discontinued	0	0
8. Dismissed	0	0
9. Reinstatements Granted	7	7
10. Reinstatements Denied	1	1
11. Non-Disciplinary Resignation	8	8
12. All Other Dispositions	27	87
13. Total Closed	56	128
E. Total Cases Pending at End of Period	33	64
1. Disciplinary Proceedings	17 (42)	
2. Other	16 (22)	

DATED: January 11, 2015  
White Plains, New York

Respectfully Submitted,

  
GARY L. CASELLA  
Chief Counsel



DUTCHESS COUNTY BAR ASSOCIATION  
GRIEVANCE COMMITTEE  
APPELLATE DIVISION, SECOND DEPARTMENT  
NINTH JUDICIAL DISTRICT  
January 1, 2015 through December 31, 2015

I. MATTERS PROCESSED:

A. Matters pending on January 1, 2015 .....	<u>8</u>
B. New matters received during period .....	<u>8</u>
C. Closed matters reactivated during period .....	<u>0</u>
D. Total matters to be processed during period (A+B+C = D) .....	<u>16</u>
E. Total matters disposed of during period .....	<u>15</u>
F. Matters pending on December 31, 2015 .....	<u>1</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

A. Rejected as Failing to State Complaint .....	<u>0</u>
B. Referred to Other Disciplinary Committees .....	<u>2</u>
C. Referred to Other Agencies .....	<u>0</u>
D. Dismissed .....	<del>0</del> <u>12</u>
E. Dismissed with Advisory Language .....	<u>1</u>
F. Letter of Caution .....	<u>0</u>
G. Letter of Admonition .....	<u>0</u>
H. Reprimand .....	<u>0</u>
I. Total disposed of during period .....	<u>15</u>

ORANGE COUNTY BAR ASSOCIATION  
 GRIEVANCE COMMITTEE  
 APPELLATE DIVISION, SECOND DEPARTMENT  
 NINTH JUDICIAL DISTRICT  
January 1, 2015 through December 31, 2015

I. MATTERS PROCESSED:

A. Matters pending on January 1, 2015 .....	<u>8</u>
B. New matters received during period .....	<u>15</u>
C. Closed matters reactivated during period .....	<u>      </u>
D. Total matters to be processed during period (A+B+C = D) .....	<u>23</u>
E. Total matters disposed of during period .....	<u>17</u>
F. Matters pending on December 31, 2015 .....	<u>6</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

A. Rejected as Failing to State Complaint .....	<u>      </u>
B. Referred to Other Disciplinary Committees .....	<u>5</u>
C. Referred to Other Agencies .....	<u>      </u>
D. Dismissed .....	<u>7</u>
E. Dismissed with Advisory Language .....	<u>5</u>
F. Letter of Caution .....	<u>      </u>
G. Letter of Admonition .....	<u>      </u>
H. Reprimand .....	<u>      </u>
I. Total disposed of during period .....	<u>      </u>

PUTNAM COUNTY BAR ASSOCIATION  
 GRIEVANCE COMMITTEE  
 APPELLATE DIVISION, SECOND DEPARTMENT  
 NINTH JUDICIAL DISTRICT  
January 1, 2015 through December 31, 2015

I. MATTERS PROCESSED:

A.	Matters pending on January 1, 2015.....	<u>5</u>
B.	New matters received during period.....	<u>1</u>
C.	Closed matters reactivated during period.....	<u>0</u>
D.	Total matters to be processed during period..... (A+B+C = D)	<u>6</u>
E.	Total matters disposed of during period.....	<u>6</u>
F.	Matters pending on December 31, 2014 .....	<u>0</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

A.	Rejected as Failing to State Complaint.....	<u>0</u>
B.	Referred to Other Disciplinary Committees .....	<u>0</u>
C.	Referred to other Agencies .....	<u>0</u>
D.	Dismissed .....	<u>0</u>
E.	Dismissed with Advisory Language .....	<u>0</u>
F.	Letter of Caution .....	<u>0</u>
G.	Letter of Admonition .....	<u>0</u>
H.	Reprimand .....	<u>0</u>
I.	Total disposed of during period .....	<u>6</u>

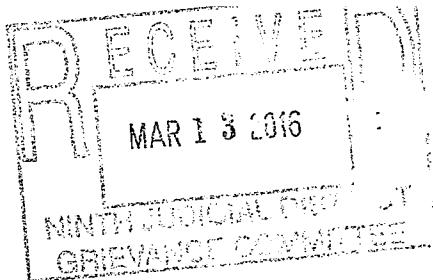
ROCKLAND COUNTY BAR ASSOCIATION  
 GRIEVANCE COMMITTEE  
 APPELLATE DIVISION, SECOND DEPARTMENT  
 NINTH JUDICIAL DISTRICT  
January 1, 2015 through December 31, 2015

I. MATTERS PROCESSED:

A. Matters pending on January 1, 2015 .....	<u>25</u>
B. New matters received during period .....	<u>6</u>
C. Closed matters reactivated during period .....	<u>0</u>
D. Total matters to be processed during period (A+B+C = D) .....	<u>31</u>
E. Total matters disposed of during period .....	<u>10</u>
F. Matters pending on December 31, 2015 .....	<u>21</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

A. Rejected as Failing to State Complaint .....	<u>0</u>
B. Referred to Other Disciplinary Committees .....	<u>0</u>
C. Referred to Other Agencies .....	<u>0</u>
D. Dismissed .....	<u>6</u>
E. Dismissed with Advisory Language .....	<u>0</u>
F. Letter of Caution .....	<u>0</u>
G. Letter of Admonition .....	<u>0</u>
H. Reprimand .....	<u>0</u>
I. Total disposed of during period .....	<u>6</u>



WESTCHESTER COUNTY BAR ASSOCIATION  
GRIEVANCE COMMITTEE  
APPELLATE DIVISION, SECOND DEPARTMENT  
NINTH JUDICIAL DISTRICT  
January 1, 2015 through December 31, 2015

I. MATTERS PROCESSED:

A. Matters pending on January 1, 2015 .....	<u>92</u>
B. New matters received during period .....	<u>41</u>
C. Closed matters reactivated during period .....	<u>0</u>
D. Total matters to be processed during period (A+B+C = D) .....	<u>133</u>
E. Total matters disposed of during period .....	<u>57</u>
F. Matters pending on December 31, 2015 .....	<u>76</u>

II. MATTERS DISPOSED OF BY COMMITTEE:

A. Rejected as Failing to State Complaint .....	<u>0</u>
B. Referred to Other Disciplinary Committees .....	<u>1</u>
C. Referred to Other Agencies .....	<u>0</u>
D. Dismissed .....	<u>51</u>
E. Dismissed with Advisory Language .....	<u>5</u>
F. Letter of Caution .....	<u>0</u>
G. Letter of Admonition .....	<u>0</u>
H. Reprimand .....	<u>0</u>
I. Total disposed of during period .....	<u>57</u>

UCS-176

**ATTORNEY DISCIPLINARY ACTIVITIES**

PERIOD COVERED (Annual)  
JANUARY - DECEMBER 2015

TENTH JUDICIAL DISTRICT

SECOND DEPARTMENT

**I. MATTERS PROCESSED:**

A. Matters Pending at Start of Period	923	
B. New Matters During Period	1,530	
C. Closed Matters Re-activated During Period	<u>31</u>	
D. Total Matters to be Processed During Period		2,484
E. Total Matters Disposed of During Period		<u>1728</u>
F. Matters Pending at End of Period		756

**II. MATTERS DISPOSED OF BY COMMITTEE**

	<b>Cases</b>	<b>Matters</b>
A. Rejected as Failing to State a Complaint	702	702
B. Referred to Other Disciplinary Committees	246	246
C. Referred to Other Agencies	113	113
D. Dismissed or Withdrawn	337	337
E. Dismissed through Mediation	32	32
F. Letter of Caution	76	83
G. Letter of Admonition	45	58
H. Reprimand	0	0
I. Referred to Appellate Division (DPs)	42	80
J. Other	<u>70</u>	<u>77</u>
Total Disposed of During Period	1663	1728

**III. CASES PROCESSED IN ALL COURTS**

A. Cases Pending at Start of Period	<u>71</u>
1. Disciplinary Proceedings	27
2. Other	44
B. Cases Received During Period	<u>108</u>
1. Disciplinary Proceedings	42
2. Other	66
C. Total to be Processed During Period	<u>179</u>

**LOCAL BAR ASSOCIATION ACTIVITIES  
JANUARY - DECEMBER 2015**

**NASSAU COUNTY BAR ASSOCIATION**

A.	Cases Referred to Grievance Committee	22
B.	Cases Referred to Mediation	<u>14</u>
	Total Cases Referred:	36

**SUFFOLK COUNTY BAR ASSOCIATION**

A.	Cases Referred to Grievance Committee	7
B.	Cases Referred to Mediation	<u>16</u>
	Total Cases Referred:	23

**TOTAL CASES REFERRED TO LOCAL BAR ASSOCIATIONS:** 59

\* Numbers do not include matters referred to Fee Dispute

# **Fourth Department**

**ATTORNEY DISCIPLINARY ACTIVITIES****PERIOD COVERED (01-01-2015 to 12-31-2015)****5TH JUDICIAL DISTRICT****FOURTH DEPARTMENT****I. MATTERS PROCESSED:\***

A.	Matters Pending at Start of Period	<u>140</u>	
B.	New Matters During Period	<u>478</u>	
C.	Closed Matters Reactivated During Period	<u>6</u>	
D.	Total Matters to be Processed During Period (A+B+C)		<u>624</u>
E.	Total Matters Disposed of During Period		<u>494</u>
F.	Matters Pending at End of Period		<u>130</u>

**II. MATTERS DISPOSED OF BY COMMITTEE:**

		Cases**	Matters
A.	Rejected as Failing to State a Complaint	<u>214</u>	<u>246</u>
B.	Referred to Other Disciplinary Committees	<u>34</u>	<u>34</u>
C.	Referred to Other Agencies	<u>3</u>	<u>4</u>
D.	Dismissed or Withdrawn	<u>87</u>	<u>100</u>
E.	Dismissed through Mediation	<u>0</u>	<u>0</u>
F.	Letter of Caution	<u>49</u>	<u>55</u>
G.	Letter of Admonition	<u>11</u>	<u>17</u>
H.	Admonition (or Reprimand)		
I.	Referred to Appellate Division (Disc. Proc.)	<u>20</u>	<u>38</u>
	Total Disposed of During Period (same as I.E above)	<u>418</u>	<u>494</u>

**III. CASES PROCESSED IN ALL COURTS:\*\***

A.	Cases Pending at Start of Period		<u>7</u>
	1. Disciplinary Proceedings	<u>3</u>	
	2. Other	<u>4</u>	
B.	Cases Received During Period		<u>20</u>
	1. Disciplinary Proceedings	<u>8</u>	
	2. Other	<u>12</u>	
C.	Total to be Processed During Period		<u>27</u>

**ATTORNEY DISCIPLINARY ACTIVITIES**

D.	Cases Closed	
1.	Disbarred	<u>2</u>
2.	Disciplinary Resignations	<u>0</u>
3.	Suspended***	<u>7</u>
4.	Censured	<u>2</u>
5.	Privately Censured	<u>0</u>
6.	Remanded to Grievance Committee	<u>4</u>
7.	Discontinued	<u>1</u>
8.	Dismissed	<u>1</u>
9.	Reinstatements Granted	<u>1</u>
10.	Reinstatements Denied	<u>0</u>
11.	Non-Disciplinary Resignations	<u>1</u>
12.	All Other Dispositions	<u>1</u>
13.	Total closed	<u>20</u>
E.	Total Cases Pending at End of Period	<u>7</u>
1.	Disciplinary Proceedings	<u>5</u>
2.	Other	<u>2</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (**Excluding telephone inquiries**)
3. Sua Sponte investigations

\* Matters represents individual complaints and inquiries.

\*\* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

\*\*\* **Includes definite, interim and indefinite suspensions.**

**ATTORNEY DISCIPLINARY ACTIVITIES****PERIOD COVERED (01-01-2015 to 12-31-2015)****7TH JUDICIAL DISTRICT****FOURTH DEPARTMENT****I. MATTERS PROCESSED:\***

A.	Matters Pending at Start of Period	<u>176</u>	
B.	New Matters During Period	<u>592</u>	
C.	Closed Matters Reactivated During Period	<u>3</u>	
D.	Total Matters to be Processed During Period (A+B+C)		<u>771</u>
E.	Total Matters Disposed of During Period		<u>703</u>
F.	Matters Pending at End of Period		<u>68</u>

**II. MATTERS DISPOSED OF BY COMMITTEE:**

		Cases**	Matters
A.	Rejected as Failing to State a Complaint	<u>277</u>	<u>374</u>
B.	Referred to Other Disciplinary Committees	<u>35</u>	<u>36</u>
C.	Referred to Other Agencies	<u>0</u>	<u>0</u>
D.	Dismissed or Withdrawn	<u>120</u>	<u>173</u>
E.	Dismissed through Mediation	<u>0</u>	<u>0</u>
F.	Letter of Caution	<u>59</u>	<u>71</u>
G.	Letter of Admonition	<u>11</u>	<u>15</u>
H.	Admonition (or Reprimand)		
I.	Referred to Appellate Division (Disc. Proc.)	<u>27</u>	<u>34</u>
	Total Disposed of During Period (same as I.E above)	<u>529</u>	<u>703</u>

**III. CASES PROCESSED IN ALL COURTS:\*\***

A.	Cases Pending at Start of Period		<u>18</u>
	1. Disciplinary Proceedings	<u>11</u>	
	2. Other	<u>7</u>	
B.	Cases Received During Period		<u>27</u>
	1. Disciplinary Proceedings	<u>5</u>	
	2. Other	<u>22</u>	
C.	Total to be Processed During Period		<u>45</u>

**ATTORNEY DISCIPLINARY ACTIVITIES**

D.	Cases Closed	
1.	Disbarred	<u>2</u>
2.	Disciplinary Resignations	<u>1</u>
3.	Suspended***	<u>8</u>
4.	Censured	<u>1</u>
5.	Privately Censured	<u>0</u>
6.	Remanded to Grievance Committee	<u>1</u>
7.	Discontinued	<u>4</u>
8.	Dismissed	<u>1</u>
9.	Reinstatements Granted	<u>4</u>
10.	Reinstatements Denied	<u>2</u>
11.	Non-Disciplinary Resignations	<u>9</u>
12.	All Other Dispositions	<u>0</u>
13.	Total closed	<u>33</u>
E.	Total Cases Pending at End of Period	<u>12</u>
1.	Disciplinary Proceedings	<u>9</u>
2.	Other	<u>3</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (**Excluding telephone inquiries**)
3. Sua Sponte investigations

\* Matters represents individual complaints and inquiries.

\*\* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

\*\*\* **Includes definite, interim and indefinite suspensions.**

**ATTORNEY DISCIPLINARY ACTIVITIES****PERIOD COVERED (01-01-2015 to 12-31-2015)****8TH JUDICIAL DISTRICT****FOURTH DEPARTMENT****I. MATTERS PROCESSED:\***

A.	Matters Pending at Start of Period	<u>333</u>	
B.	New Matters During Period	<u>576</u>	
C.	Closed Matters Reactivated During Period	<u>5</u>	
D.	Total Matters to be Processed During Period (A+B+C)		<u>914</u>
E.	Total Matters Disposed of During Period		<u>776</u>
F.	Matters Pending at End of Period		<u>138</u>

**II. MATTERS DISPOSED OF BY COMMITTEE:**

		Cases**	Matters
A.	Rejected as Failing to State a Complaint	<u>296</u>	<u>337</u>
B.	Referred to Other Disciplinary Committees	<u>15</u>	<u>16</u>
C.	Referred to Other Agencies	<u>1</u>	<u>2</u>
D.	Dismissed or Withdrawn	<u>225</u>	<u>238</u>
E.	Dismissed through Mediation	<u>0</u>	<u>0</u>
F.	Letter of Caution	<u>73</u>	<u>86</u>
G.	Letter of Admonition	<u>8</u>	<u>12</u>
H.	Admonition (or Reprimand)		
I.	Referred to Appellate Division (Disc. Proc.)	<u>69</u>	<u>85</u>
	Total Disposed of During Period (same as I.E above)	<u>687</u>	<u>776</u>

**III. CASES PROCESSED IN ALL COURTS:\*\***

A.	Cases Pending at Start of Period		<u>10</u>
	1. Disciplinary Proceedings	<u>7</u>	
	2. Other	<u>3</u>	
B.	Cases Received During Period		<u>69</u>
	1. Disciplinary Proceedings	<u>10</u>	
	2. Other	<u>59</u>	
C.	Total to be Processed During Period		<u>79</u>

**ATTORNEY DISCIPLINARY ACTIVITIES**

D.	Cases Closed	
1.	Disbarred	<u>2</u>
2.	Disciplinary Resignations	<u>0</u>
3.	Suspended***	<u>29</u>
4.	Censured	<u>2</u>
5.	Privately Censured	<u>0</u>
6.	Remanded to Grievance Committee	<u>1</u>
7.	Discontinued	<u>10</u>
8.	Dismissed	<u>3</u>
9.	Reinstatements Granted	<u>3</u>
10.	Reinstatements Denied	<u>1</u>
11.	Non-Disciplinary Resignations	<u>16</u>
12.	All Other Dispositions	<u>0</u>
13.	Total closed	<u>67</u>
E.	Total Cases Pending at End of Period	<u>12</u>
1.	Disciplinary Proceedings	<u>9</u>
2.	Other	<u>3</u>

For the purposes of this report, the term "Matter" includes the following:

1. Complaints
2. Inquiries (**Excluding telephone inquiries**)
3. Sua Sponte investigations

\* Matters represents individual complaints and inquiries.

\*\* Cases refers to the number of respondent/attorneys. As some attorneys are the subject of multiple complaints, the number of matters may exceed the number of cases.

\*\*\* **Includes definite, interim and indefinite suspensions.**

**Attorney Discipline Budget  
Appropriations and  
Salaries of Disciplinary Staff**

### ATTORNEY DISCIPLINE - 1ST DEPT

Title Description	Salary	Category
Chief Attorney	152,880	ATT
Deputy Chief Attorney	150,523	ATT
Deputy Chief Attorney	150,523	ATT
Deputy Chief Attorney	150,523	ATT
Principal Attorney	145,916	ATT
Deputy Chief Attorney	143,858	ATT
Principal Attorney	141,447	ATT
Principal Attorney	141,447	ATT
Principal Attorney	141,447	ATT
Principal Attorney	136,978	ATT
Principal Attorney	136,978	ATT
Principal Attorney	136,978	ATT
Special Projects Counsel	136,897	ATT
Principal Attorney	135,473	ATT
Principal Attorney	128,040	ATT
Principal Attorney	128,040	ATT
Principal Attorney	110,164	ATT
Associate LAN Administrator	109,135	ADM
Associate Attorney	94,119	ADM
Principal Court Analyst	89,924	ADM
Senior Court Analyst	89,385	ADM
Senior Court Analyst	86,327	ADM
Senior Court Analyst	83,088	ADM
Court Analyst	76,972	ADM
Court Analyst	71,604	ADM
Assistant Court Analyst	69,168	ADM
Court Analyst	68,920	ADM
Court Analyst	68,401	ADM
Admin Secretary	67,846	ADM
Assistant Court Analyst	66,730	ADM
Secretary	60,052	ADM
Secretary	60,052	ADM
Law Stenographer	57,821	ADM
Law Stenographer	55,590	ADM

<b>2016-17 Budget</b>	
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Personal Service	3,981,978
Nonpersonal Service	247,300
Total	4,229,278

**ATTORNEY DISCIPLINE - 2ND DEPT**

<b>Second &amp; Eleventh District</b>		
<b>Title Description</b>	<b>Salary</b>	<b>Category</b>
Chief Attorney	148,610	ATT
Deputy Chief Attorney	153,075	ATT
Principal Attorney	145,916	ATT
Principal Attorney	141,447	ATT
Principal Attorney	141,447	ATT
Associate Attorney	100,440	ATT
Senior Principal LAN Administrator	98,747	ADM
Court Analyst	74,288	ADM
Principal Atty (Part Time -50%)	70,724	ATT
Principal Atty (Part Time -50%)	70,724	ATT
Attorney	68,803	ATT
Attorney	68,803	ATT
Associate Court Analyst	66,730	ADM
Senior Court Analyst	64,921	ADM
Secretary	57,821	ADM
Court Analyst	57,264	ADM
Assistant Court Analyst	49,664	ADM

<b>Ninth District</b>		
<b>Title Description</b>	<b>Salary</b>	<b>Category</b>
Chief Attorney	151,647	ATT
Deputy Chief Attorney	153,075	ATT
Principal Attorney	145,916	ATT
Principal Attorney	145,916	ATT
Principal Attorney	145,916	ATT
Principal Attorney	141,447	ATT
Principal Attorney	141,447	ATT
Principal Attorney	141,447	ATT
Principal Attorney	141,447	ATT
Principal Attorney	136,978	ATT
Principal Court Analyst	98,747	ADM
Senior Court Analyst	83,269	ADM
Court Analyst	74,288	ADM
Assistant Court Analyst	66,730	ADM
Secretary	57,821	ADM
Secretary	57,821	ADM
Secretary	57,821	ADM
Secretary (Part Time 50%)	31,142	ADM

### ATTORNEY DISCIPLINE - 2ND DEPT

Tenth District		
Title Description	Salary	Category
Chief Attorney	148,467	ATT
Principal Attorney	145,916	ATT
Principal Attorney	145,916	ATT
Deputy Chief Attorney	142,277	ATT
Principal Attorney	141,447	ATT
Principal Attorney	141,447	ATT
Principal Attorney	141,447	ATT
Principal Attorney	136,978	ATT
Principal Attorney	136,978	ATT
Principal Attorney	136,978	ATT
Principal Attorney	136,978	ATT
Principal Attorney	125,280	ATT
Principal Attorney	123,571	ATT
Principal Court Analyst	98,747	ADM
Senior Court Analyst	86,327	ADM
Court Analyst	76,972	ADM
Assistant Court Analyst	66,730	ADM
Law Stenographer	62,283	ADM
Secretary	60,052	ADM
Secretary	57,821	ADM
Senior Appellate Office Assistant	44,242	ADM
Sr App Office Asst (Part Time 50%)	22,121	ADM

2016-17 Budget	
Personal Service	6,027,741
Nonpersonal Service	826,396
Total	6,854,137

### ATTORNEY DISCIPLINE - 3RD DEPT

Title Description	Salary	Category
Chief Attorney	149,386	ATT
Deputy Chief Attorney	146,054	ATT
Principal Attorney	141,447	ATT
Associate Attorney	112,782	ATT
Principal Court Analyst	98,747	ADM
Associate Attorney	98,143	ATT
Senior Court Analyst	89,385	ADM
Senior Attorney	88,365	ATT
Assistant Court Analyst	64,292	ADM
Secretary	57,821	ADM
Secretary	57,821	ADM
Secretary	55,590	ADM
Principal Appellate Office Asst	54,794	ADM
Secretary	44,435	ADM

2016-17 Budget	
Personal Service	1,279,065
Nonpersonal Service	205,485
Total	1,484,550

### ATTORNEY DISCIPLINE - 4TH DEPT

Title Description	Salary	Category
Chief Attorney	153,466	ATT
Principal Attorney	145,916	ATT
Principal Attorney	145,916	ATT
Principal Attorney	145,916	ATT
Principal Attorney	145,916	ATT
Principal Attorney	141,447	ATT
Associate Attorney	122,287	ATT
Principal Attorney	121,720	ATT
Senior Court Analyst	89,385	ADM
Senior Court Analyst	86,327	ADM
Senior Court Analyst	86,327	ADM
Court Analyst	71,604	ADM
Assistant Court Analyst	64,292	ADM
Assistant Court Analyst	64,292	ADM
Assistant Court Analyst	64,292	ADM
Court Analyst	63,552	ADM
Secretary	60,052	ADM
Secretary	60,052	ADM
Assistant Court Analyst	51,543	ADM
Secretary (Part Time 50%)	28,911	ADM

<b>2016-17 Budget</b>	
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Personal Service	1,982,149
Nonpersonal Service	325,746
Total	2,307,895